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(54) Title: METHODS FOR SELECTIVELY MODULATING SURVIVIN APOPTOSIS PATHWAYS

(57) Abstract: The present invention, based on the discovery of a new biological phenomena, provides methods and compositions for use in identifying agents that modulate the phosphorylation of survivin, the interaction between survivin and p34^{cdc2}-cyclin B1 kinase complex, and the interaction between survivin and caspase-9. Related methods and compositions can be used to modulate survivin regulated apoptosis.

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METHODS FOR SELECTIVELY MODULATING SURVIVIN APOPTOSIS PATHWAYS

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Related Applications

This application is related to provisional application Serial No. 60/080,288, filed April 1, 1998, International Application PCT/US99/07205, filed April 1, 1999, application Serial No. 09/283,144, filed April 1, 1999, provisional application Serial
10 No. 60/031,435, filed November 20, 1996, application Serial No. 08/975,080, filed November 20, 1997 and International Application PCT/US97/21880, filed November 20, 1997, and claims the benefit of priority to U.S. Patent Application Serial No. 09/515,514, filed February 29, 2000, all of which are herein incorporated by reference in their entirety.

15

Technical Field

The present invention, based on the discovery of a new biological phenomena, provides methods and compositions for use in identifying agents that modulate the phosphorylation of survivin, the interaction between survivin and
20 p34^{cdc2} cyclin B1 kinase complex, and the interaction between survivin and caspase-9. Related methods and compositions can be used to modulate survivin regulated apoptosis.

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Background of the Invention

A. The Role of Survivin in Programmed Cell Death

Programmed cell death (sometimes referred to as apoptosis) is distinguishable, both morphologically and functionally, from necrosis. Programmed
5 cell death is a natural form of death that organisms use to dispose of cells. Cells dying by programmed cell death usually shrink, rarely lyse, and are efficiently removed from the organism (rapidly recognized and engulfed by macrophages) without the appearance of inflammation (Michael Hengartner, "*Cell Death and Aging, Molecular Mechanisms of*," IN MOLECULAR BIOLOGY AND BIOTECHNOLOGY
10 158-62 (ed. R. A. Meyers, 1995)).

Apoptosis was initially used to describe a subset of programmed cell deaths sharing a particular set of morphological features which include membrane blebbing, shrinkage of cytoplasm, chromatic condensation and formation of a "DNA ladder." During apoptosis, cells lose their cell junctions and microvilli, the cytoplasm
15 condenses and nuclear chromatin marginates into a number of discrete masses. While the nucleus fragments, the cytoplasm contracts and mitochondria and ribosomes become densely compacted. After dilation of the endoplasmic reticulum and its fusion with the plasma membrane, the cell breaks up into several membrane bound vesicles, referred to as apoptotic bodies, which are usually phagocytosed by
20 adjacent cells. Activation of particular genes such as tumor suppressor genes in vertebrates is thought to be necessary for apoptosis to occur. Apoptosis induced by numerous cytotoxic agents can be suppressed by expression of the gene *bcl-2*, which produces a cytoplasmic protein Bcl-2 (THE ENCYCLOPEDIA OF MOLECULAR BIOLOGY 67 ed., John Kendrew *et al.*, Blackwell Science; Oxford, England, 1994).

25 Survivin has recently been identified as a novel inhibitor of apoptosis (IAP). The gene encoding survivin is located on chromosome 17q25. Survivin is a 16.5 kD cytoplasmic protein containing a single partially conserved BIR (baculovirus IAP

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repeats) domain, and a highly charged carboxyl-terminus coiled-coil region instead of a RING finger, which inhibits apoptosis induced by growth factor (IL-3) withdrawal when transferred in B cell precursors (Ambrosini, G. *et al.*, 1997).

Unlike other members of the IAP family, survivin has only one BIR domain and does
5 not have a carboxy-terminal RING finger. Instead, survivin has a carboxy-terminus coiled-coil region. Based on overall sequence conservation, the absence of a carboxy terminus RING finger and the presence of a single, partially conserved, BIR domain, survivin is the most distantly related member of the IAP family, sharing the highest degree of similarity with NAIP (Roy, N. *et al.*, 1995). Additionally, unlike other IAP
10 proteins, survivin is undetectable in adult tissues, but becomes prominently expressed in all the most common human cancers of lung, colon, breast, pancreas, and prostate, and in ~50% of high-grade non-Hodgkin's lymphomas, *in vivo*.

Expression of survivin in embryonic and fetal development may contribute to tissue homeostasis and differentiation that is independent of *bcl-2* (Adida *et al.*,
15 1998). Aberrations of this survivin-associated developmental pathway may result in prominent re-expression of survivin in neoplasia and abnormally prolonged cell viability (Adida *et al.*, 1998).

Deregulation of programmed cell death has emerged as a primary mechanism contributing to the pathogenesis of various human diseases including cancer. While
20 the impact of anti-apoptosis genes in neoplasia has focused, for example, on the role of *bcl-2* in follicular lymphoma, a potential distribution of IAP proteins, such as survivin, has only begun to be investigated. Survivin is rarely present in adult tissues but has been detected in adenocarcinoma of the pancreas, breast adenocarcinoma, colon cancer, head and neck squamous cell carcinoma, neuroblastoma, malignant
25 thymoma, prostate cancer, and benign prostate hyperplasia (see USSN 08/975,080). This expression pattern suggests that overexpression of survivin or alterations in survivin gene regulation may commonly occur during tumorigenesis.

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B. Cell Cycle

Living organisms are composed of cells, whose growth and division require a regular sequence of events and processes that comprise the cell cycle. Some cell cycle events are continuous (*e.g.*, synthesis of proteins and lipids), whereas others are discontinuous (*e.g.*, DNA synthesis). Two discontinuous processes for cell survival are the replication of DNA and the segregation of chromosomes to the daughters of cell division during mitosis. If either of these steps are performed inaccurately, the daughter cells will be different from each other and will almost certainly be flawed. Segregation of chromosomes occurs during mitosis, normally a relatively brief period in the cell cycle, which culminates in the highly visible act of cell division (*e.g.*, cytokinesis). The remainder of cell cycle comprises interphase, during which growth occurs. Chromosome replication occurs in eukaryotic cells only during interphase; and replication and segregation are mutually exclusive processes.

Interphase is subdivided into the S phase when DNA synthesis occurs and the gaps separating S phase from mitosis. G1 is the gap after mitosis, before DNA synthesis starts; G2 is the gap after DNA synthesis is complete, before mitosis and cell division. Cellular constituents direct the cell cycle by acting as regulatory elements.

C. Checkpoint Mechanisms for Apoptosis and Cell Cycle

One of the central functions of apoptosis (programmed cell death) in maintaining homeostasis is the elimination of damaged and potentially harmful cells (Vaux and Korsmeyer, 1999). For this process to be effective, the apoptotic machinery must constantly couple to surveillance mechanisms, *i.e.* "checkpoints", sensing DNA damage, adverse environmental conditions, and oncogene or viral transformation (Hunter, 1997; Paulowich *et al.*, 1997). Checkpoint activation under these conditions

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initiates apoptosis (Evan and Littlewood, 1998) via the assembly of an evolutionary conserved "apoptosome", which in mammalian cells comprises an upstream cell death protease, caspase-9, the adapter/cofactor protein Apaf-1, mitochondria-derived cytochrome c and dATP/ATP (Green, 1998). Although it is debated how

5 apoptosome assembly promotes caspase-9 catalytic activity (Rodriguez and Lazebnik, 1999; Zou *et al.*, 1999), this process culminates with downstream activation of effector caspases and cleavage of critical cellular substrates (Salvesen and Dixit, 1997; Thornberry and Lazebnik, 1998). A similar paradigm linking

10 apoptosis control to checkpoint activation (Levine, 1997), has been extended to surveillance mechanisms presiding over cell cycle transitions (Pines, 1999), assembly of a bipolar mitotic apparatus (Merdes and Cleveland, 1997), preservation of ploidy (Nicklas, 1997), and timing of cytokinesis (Field *et al.*, 1999). In this context, dysregulated expression of apoptosis inhibitors *bcl-2* and *bcl-xL* has been shown to restrain S phase entry (Linette *et al.*, 1996), promote cell cycle exit (Huang *et al.*,

15 1997), and cause aneuploidy (Minn *et al.*, 1996), further demonstrating a role of the apoptotic machinery in cell cycle progression.

Survivin is expressed in G2/M in a cell cycle-dependent manner, and localized to mitotic spindle microtubules and intercellular acto-myosin bridges, *i.e.* midbodies, during cell division (Li *et al.*, 1998). Interference with this topography,

20 or blocking survivin expression, caused increased caspase-3 activity in G2/M (Li *et al.*, 1998), and a profound dysregulation of mitotic progression (Li *et al.*, 1999), suggesting that survivin may regulate a novel apoptotic checkpoint at cell division. This pathway was dramatically exploited in cancer (Ambrosini *et al.*, 1997), where survivin was identified as one of the top four "transcriptomes" out of 3.5 millions

25 mRNAs, uniformly expressed in cancer, but not in normal tissues (Velculescu *et al.*, 1999). Additionally, it has been shown that transformed cells are exquisitely sensitive to manipulation at this mitotic checkpoint as interference with survivin

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expression and function using dominant-negative mutants with point mutations in the conserved baculovirus IAP repeat (BIR) domain or survivin antisense resulted in aberrant mitoses (Li *et al.*, 1999) and spontaneous apoptosis (Ambrosini *et al.*, 1999; Grossman *et al.*, 1999a; Grossman *et al.*, 1999b). This phenotype is unique to
5 survivin and not observed with other apoptosis inhibitors potentially contributing to neoplasia, as antisense inhibition of Bcl-2 increased sensitivity to apoptosis but did not in itself induce cell death (Jansen *et al.* 1998).

The present invention identifies a mechanism by which survivin may integrate the control of cell division with the regulation of apoptosis in mammalian
10 cells. The present invention also provides two classes of survivin antagonists that modulate the expression of survivin and interfere with the antiapoptotic survivin pathway in melanoma tumors *in vivo*. Further, the present invention provides a method of inhibiting the growth of tumor comprising administering an effective amount of a survivin antagonist to the tumor.

15

Summary of the Invention

The present invention is based in part on the finding that survivin is phosphorylated by the main mitotic kinase complex, p34^{cdc2}-cyclin B1 (Nurse, 1994), and that this process is essential to preserve viability of cells traversing mitosis.

20 The present invention is also based in part on the finding that lack of survivin phosphorylation by p34^{cdc2} causes dissociation of the survivin-active caspase-9 complex, selective mislocalization of caspase-9 from midbodies, and caspase-9-dependent apoptosis of cells traversing mitosis.

The present invention provides a method of identifying an agent that
25 modulates the phosphorylation of survivin comprising the steps of incubating survivin and p34^{cdc2}-cyclin B1 kinase complex with an agent and determining whether the agent modulates the phosphorylation of survivin, thereby identifying an

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agent that modulates phosphorylation of survivin.

The present invention further provides methods of identifying an agent that modulates the interaction of survivin and p34^{cdc2}-cyclin B1 kinase complex.

5 The invention also provides methods of modulating the interaction between survivin and p34^{cdc2}-cyclin B1 kinase complex comprising the step of administering an effective amount of an agent which modulates at least one interaction between Survivin and p34^{cdc2}-cyclin B1 kinase complex.

10 The invention further includes methods of modulating apoptosis in a cell, comprising administering to the cell an effective amount of an agent that modulates the interaction between survivin and p34^{cdc2}-cyclin B1 kinase complex. The invention further provides agents, compositions, and peptides that modulate the interactions between Survivin and p34^{cdc2}-cyclin B1 kinase complex.

15 The present invention also provides a method of identifying agents that modulate the interaction between phosphorylated survivin and caspase-9. The invention further provides agents, compositions, and peptides that modulate the interactions between phosphorylated survivin and caspase-9.

20 The present invention provides survivin antagonists such as the dominant negative survivin mutant (Thr³⁴→Ala) and survivin antisense nucleic acid, to modulate the expression of survivin in a cell. The invention also discloses using the survivin antagonists to inhibit the growth of tumors *in vivo* and *in vitro*.

Brief Description of the Drawings

Figures 1A-D. p34^{cdc2} Cyclin B1 Phosphorylation of Survivin on Thr³⁴.

25 **Figure 1A** shows clustal alignment of survivin and IAP proteins (SEQ ID NO: 11-21). A consensus p34^{cdc2} phosphorylation sequence (S/TPXR/K, SEQ ID NO: 11) surrounding Thr³⁴ in survivin is boxed.

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Figure 1B shows *in vitro* kinase assay. Wild type (WT) survivin, survivin (T34A) (T34A), or control (H1) were incubated with baculovirus-expressed p34^{cdc2}-cyclin B1 or cdk2-cyclin E in kinase buffer plus 10 μ Ci γ -³²P-ATP for 30 min at 30 °C. Samples were separated by SDS gel electrophoresis and radioactive bands were visualized by autoradiography. Equal protein loading was confirmed by Coomassie blue staining of the gel.

Figure 1C shows reactivity with an antibody to phosphorylated survivin Thr³⁴. The experimental conditions for kinase assays are the same as in B, except that samples were immunoblotted with an antibody raised against the survivin peptide sequence L²⁸EGCACT*PERMAEAGFI⁴⁴ (SEQ ID NO: 1) containing phosphorylated Thr³⁴(T*), and sequentially affinity purified on non-phosphorylated/phosphorylated peptide-Sepharose columns (α -phosph. T34), or with an antibody raised against recombinant survivin (α -survivin) (Grossman *et al.*, 1999).

Figure 1D shows *in vivo* phosphorylation of survivin. HeLa cells were transfected with HA-survivin, labeled with 200 μ Ci/ml ³²Pi in phosphate-free DMEM medium and immunoprecipitated with control IgG or anti-HA antibody with visualization of radioactive bands by autoradiography. Experiments were repeated at least three times with comparable results. For all panels, relative molecular weight markers are indicated on the left.

Figures 2A- C. Physical Association Between Survivin and p34^{cdc2}.

Figure 2A shows cyclin-dependent kinase immunoprecipitation. HeLa cells asynchronously growing (Async.) or synchronized to G1, S, or G2/M were detergent-solubilized and immunoprecipitated with antibodies to p34^{cdc2} (*left panel*), or Cdk2 (*right panel*). Samples were electrophoresed, transferred to nylon 34 membranes, and separately immunoblotted with antibodies to survivin, p34^{cdc2} or Cdk2, respectively.

Figure 2B shows survivin immunoprecipitation. The experimental procedures are the same as in A, except that asynchronously growing HeLa cells were transfected with HA-survivin or HA-survivin (T34A), immunoprecipitated with an antibody to HA, and immunoblotted with antibodies to p34^{cdc2} or HA.

5 **Figure 2C** shows co-localization of survivin and p34^{cdc2}, *in vivo*. HeLa cells on optical grade glass coverslips were fixed in acetone/methanol and simultaneously incubated with mAb 8E2 to survivin and a rabbit antibody to p34^{cdc2}, followed by FITC-conjugated goat anti-mouse (survivin) and TR-conjugated goat anti-rabbit (p34^{cdc2}) antibodies. Images were analyzed by confocal laser-scanning microscopy at
10 the indicated cell cycle phases. Image merging analysis is shown on the right of each panel. For panels A and B, relative molecular weight markers are indicated on the left.

Figures 3A-D. Expression of Non-Phosphorylatable Survivin(T34A)
15 **Induces Spontaneous Apoptosis and Dysregulation of Mitosis.**

Figure 3A shows nuclear morphology. HeLa cells transfected with GFP-vector (vector), GFP-survivin(T34A) (T34A), or GFP-caspase-9 (Met¹-Asp³³⁰) (caspase-9), were scored morphologically for nuclear integrity by DAPI staining after 48-h. *Arrows*, nuclear fragmentation and chromatin condensation in GFP-expressing
20 cells.

Figure 3B shows summary of HeLa cell apoptosis induced by expression of survivin(T34A). The experimental procedures are the same as in A. Data are the mean SEM of four independent experiments.

Figure 3C shows caspase-dependent apoptosis induced by survivin(T34A).
25 HeLa cells were transfected with GFP-survivin (T34A) in the presence or in the absence of 20 μ M of the caspase inhibitor, Z-VAD-fmk. Gated GFP-expressing cells were analyzed 48 h after transfection for DNA content by propidium iodide staining

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and flow cytometry. The percentages of apoptotic cells with sub-G1 (hypodiploid) 35 DNA content are indicated. Apoptotic HeLa cells in GFP-vector transfectants were 8%. Data are representative of one experiment out of at least three independent determinations.

5 **Figure 3D** shows aberrant mitoses. HeLa cells transfected with wild type survivin (WT) or survivin(T34A) (T34A), were fixed in microtubule-stabilizing buffer (Li *et al.*, 1998), and labeled with mAb 20C6 to β -tubulin followed by FITC-conjugated goat anti-mouse IgG. Images were analyzed by confocal microscopy as described in Figure 2C.

10

Figures 4A-C. Apoptosis Induced by Survivin(T34A) Coincides with Mitosis.

15 **Figure 4A** shows tet-regulated YUSAC-2 transfectants. Three YUSAC-2 melanoma cell lines stably transfected with survivin(T34A) in pTet splice were analyzed for DNA content by propidium iodide staining and flow cytometry in the presence (Tet+) or absence (Tet-) of Tet. The percentages of cells with sub-G1 (apoptotic) DNA content after a 3-d culture at 37°C are indicated.

20 **Figure 4B** shows TUNEL labeling. The experimental conditions are the same as in A, except that a subclone of YUSAC-2 transfectants, F5.C4, was analyzed in the presence (Tet+) or in the absence (Tet-) of Tet for internucleosomal DNA fragmentation by end-labeling with terminal deoxynucleotidyl transferase (TdT) and a peroxidase-conjugated anti-digoxigenin antibody.

25 **Figure 4C** shows cell cycle analysis. F5.C4 cells were synchronized at the G1/S boundary in the presence (Tet+) or absence (Tet-) of Tet, released, and analyzed for changes in DNA content at 3-h intervals by propidium iodide staining and flow cytometry. The percentages of cells with sub-G1 (apoptotic), G1, S, or G2/M DNA content are indicated for each time point analyzed. Data are representative of one

experiment out of at least three independent determinations.

Figures 5A-D. Thr³⁴ Phosphorylation Changes the Specificity of Survivin-Caspase-9 Interaction at Mitosis.

5 **Figure 5A** shows phosphorylation-independent association of survivin-proform caspase-9 in interphase cells. Asynchronously growing HeLa cells were transfected with HA-wild type survivin (WT) or HA-survivin(T34A) (T34A), detergent-solubilized after 24 h and immunoprecipitated with an antibody to HA followed by Western blotting with an antibody to caspase-9. *Arrow*, position of ~46
10 kDa proform caspase-9.

Figure 5B shows specificity of survivin-caspase-9 interaction. The experimental conditions are the same as in A, except that HA-survivin and HA-survivin(T34A) immunoprecipitates were separately immunoblotted with antibodies to caspase-8 or HA. S, supernatant of HA-survivin immunoprecipitate. *Arrow*,
15 position of ~55 kDa proform caspase-8.

Figure 5C shows modulation of survivin-caspase-9 interaction in cycling cells. The experimental conditions are the same as in A, except that extracts from adherent (A) or non-adherent (N-A), *i.e.* mitotic/apoptotic, HeLa cells were harvested 48-h after transfection. Aliquots of the immunoprecipitates (pellet, P) or their
20 supernatants (S) were immunoblotted with antibodies to caspase-9 or HA. *Arrows*, position of ~46 kDa proform caspase-9 and ~35 kDa active caspase-9. W, whole extract from mitotic/apoptotic HeLa cells.

Figure 5D shows phosphorylation-dependent modulation of survivin-active caspase-9 recognition at mitosis. HeLa cells were transfected with the indicated HA-
25 constructs, synchronized to the G1/S boundary by a 16-h treatment with thymidine and released. Aliquots of the various cultures were immunoprecipitated at the indicated time intervals with an antibody to HA, and immunoblotted with antibodies

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to caspase-9 or HA. P, pellet; S, supernatant. For all panels, molecular weight markers are indicated on the left. For all panels, experiments were repeated at least twice with comparable results.

5 **Figures 6A-C. Expression of Survivin(T34A) Mislocalizes Caspase-9 from Midbodies at Telophase.**

HeLa cells transfected with HA-wild type survivin (Survivin) (A), survivin(T34A) (B), or survivin(L64A) (C) were labeled with a mAb to HA (A, B) or mAb 8E2 (C) and a rabbit antibody to caspase-9. Binding of the primary antibodies
10 was detected by addition of FITC-conjugated goat anti-mouse (survivin) or TR-conjugated anti-rabbit (caspase-9) antibody, and analyzed by confocal microscopy. Image merging analysis of the individual staining is shown on the right of each panel. *Arrows*, localization of wild type/mutant survivin and caspase-9 at midbodies at telophase. Experiments were repeated at least four times with comparable results.

15

Figures 7A-B. Caspase-9 Dependent Apoptotic Checkpoint at Cell Division.

Figure 7A shows proteolytic activation of caspase-9 at mitosis. Tet⁺ and Tet⁻ F5.C4 cells characterized as described in Figure 4, were harvested at the indicated
20 time intervals after thymidine release and immunoblotted with an antibody to caspase-9. TNF+CHX, extract of HeLa cells treated with 10 ng/ml TNF α plus 10 μ g/ml cycloheximide. *Arrows*, position of ~46 kDa proform caspase-9, and ~35 kDa and ~37 kDa active caspase-9 bands.

Figure 7B shows effect of caspase-9(C287A) dominant negative mutant on
25 apoptosis induced by survivin(T34A). HeLa cells were transfected with the various indicated combinations of GFP-constructs, with or without etoposide (10 μ g/ml) or TNF α (10 ng/ml) plus cycloheximide (10 μ g/ml), harvested after 48 h, and GFP-

labeled cells were morphologically scored for nuclear fragmentation by DAPI staining, as described in Figure 2B. DN, dominant negative. Data are the mean \pm SEM of four independent experiments.

5 **Figures 8A-D. Tet-Regulated Induction of Survivin BIR Mutant, Apoptosis in F5.C4 Cells, and Tumor Formation in CB.17 Mice.**

Figure 8A shows F5.C4 cells were cultured in the presence or absence of tet (0.5 μ g/ml) and harvested at the indicated time intervals. Lysates were subjected to Western blotting with antibodies to survivin (top panel) or β -actin (bottom panel) as
10 described (Grossman *et al.*, 1999). Given the massive expression of the BIR mutant, autoradiography exposure time was limited in order to visualize discreet bands. The endogenous wild-type survivin protein in uninduced (+tet) cells is not apparent at this level of exposure, but was apparent at longer exposure times.

Figure 8B shows F5.C4 cells were cultured in the presence or absence of tet
15 for the indicated time intervals, and both non-adherent and adherent cells were recovered. Cells were then fixed, permeabilized and stained with propidium iodide for DNA content as described (Grossman *et al.*, 1999). The marker indicates the sub-G₁ fraction, corresponding to apoptotic cells.

Figure 8C shows F5.C4 cells were plated on coverslips treated with 2% gelatin (Sigma), and incubated 48 hours in the presence or absence of tet. Cells were
20 fixed in 1% paraformaldehyde for 10 minutes at room temperature and then permeabilized with acetic acid and ethanol for 5 minutes at -200°C. Arrows indicate TUNEL-reactive (Shockett *et al.*, 1995) cells with apoptotic morphology.

Figure 8D shows YUSAC-2 parental cells (3×10^6 , top panel) or F5.C4 cells
25 transfected with the survivin Thr³⁴-Ala mutant (bottom panel) were injected subcutaneously into CB.17 mice, and tet (100 μ g/ml) was added (left side of panels) or withheld (right side of panels) from the drinking water as indicated. Photographs

were taken of representative 8 weeks following injection.

Figures 9A-B. *In Vitro* Characterization of Survivin Antisense-Transfected

5 Subclone B8.

Figure 9A shows DNA content analysis, performed as described in Fig. 1.

Figure 9B shows RT-PCR analysis of cells cultured in the presence or absence of tet as indicated. RNA prepared using TriReagent, and reverse transcription primed with a survivin sense primer.

10

Figures 10A-B. Effect of Survivin BIR Mutant Expression in Established Tumors *in vivo*, and Tet- regulated Apoptosis of Tumor Lines Re-Established *In Vitro*.

Figure 10A shows growth of F5.C4 tumors in 5 animals maintained on tet (closed triangles). Error bars indicate standard deviation. Also shown are 5 representative growth curves from 15 animals in which tet was withheld (day 0) upon tumor formation (no symbols).

Figure 10B shows a representative F5.C4 tumor. F5.C4 tumor following removal of tet was expanded *in vitro* in the presence of G418 and tet, and then after culturing for 72 hours in the presence (top panel) or absence (bottom panel) of tet was examined for DNA content as in Fig. 1.

Tumors were surgically excised and skin and subcutaneous tissues were dissected away. Tumors were then cut into small pieces with a razor blade and dissociated into a single-cell suspension by vigorous vortexing in PBS. Cells were washed twice in PBS, resuspended in selection medium G418 and tet, and cultured for 2-3 passages.

Figures 11A-B. Histologic Analysis of YUSAC-2 Tumor.

Tumor formed by untransfected YUSAC-2 cells was stained with (A) hematoxylin and eosin and (B) HMB-45 antibody.

5 **Figure 12. Tumor Formation in CB.17 Mice with F5.E5 Cells.**

F5.E5 cells (3×10^6 , top panel) or B8 cells (bottom panel) were injected subcutaneously into CB.17 mice, and tet (100 mg/ml) was added (left side of panels) or withheld (right side of panels) from the drinking water.

10 **Figure 13. Summary of the Role of Survivin During Cell Cycle Progression.**

A schematic diagram disclosing the role of survivin during cell cycle progression.

Detailed Description of the Invention

15 **I. General Description**

The present invention is based in part on the finding that survivin is phosphorylated by the main mitotic kinase complex, p34^{cdc2}-cyclin B1 (Nurse, 1994), and that this process is essential to preserve viability of cells traversing mitosis.

20 The present invention is also based in part on the finding that survivin binds to p34^{cdc2}-cyclin B1 kinase complex. Moreover, the present invention is based in part on the finding that phosphorylated survivin interacts with caspase-9.

25 The phosphorylation of survivin protein by p34^{cdc2}-cyclin B1 kinase complex, the binding of survivin to the kinase complex, and the binding of survivin to caspase-9 can be used to identify agents, or serve as a target for agents, that inhibit or stimulate survivin mediated functions. The agents may be used to modulate survivin mediated inhibition of cellular apoptosis, to block abnormal cell growth or to extend cell growth in culture. As used herein, modulation of apoptosis means increasing or

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decreasing the number of cells that would otherwise undergo apoptosis in a given cell population. This can be effected by modulating (increasing or decreasing) phosphorylation of survivin by p34^{cdc2}-cyclin B1 kinase complex, the binding of survivin to the kinase complex, or the binding of phosphorylated survivin to caspase-9. Preferably, the given cell population in which apoptosis is to be modulated is found in a tumor or other tissue or group of cells in which beneficial effects result from the modulation. Preferably, the increase or decrease in number of cells that would otherwise undergo apoptosis in a given cell population is at least about 10%, 20%, 40% or more preferably at least about 50% of the cells in that population.

10 Additionally, the present invention is based in part on the finding that survivin antagonists such as dominant negative survivin mutant (Thr³⁴→Ala) and antisense survivin nucleic acids inhibit the expression of endogenous survivin in a melanoma and inhibits the growth of the melanoma *in vivo* and *in vitro*. Accordingly, the present invention is also based in part on the finding that administering an effective
15 amount of a survivin antagonist to tumors inhibits the growth of tumors.

II. Specific Embodiments

A. Methods to Identify Agents that Modulate or Block

Phosphorylation of Survivin by, Binding of Survivin to p34^{cdc2}-Cyclin B1 20 Kinase Complex, or Binding of Survivin to Caspase-9

As set forth above, the present invention provides method for identifying agents that modulate, reduce, or block the phosphorylation of survivin by p34^{cdc2}-cyclin B1 kinase complex. The present invention also provides methods for identifying agents that, modulate, reduce, or block the association of survivin with
25 p34^{cdc2}-cyclin B1 kinase complex. The present invention also provides methods for identifying agents that modulate reduce or block the association of survivin to caspase-9.

In one assay format, survivin is mixed with p34^{cdc2}-cyclin B1 kinase complex in the presence and absence of an agent to be tested. After mixing under conditions that allow association of survivin with the kinase complex, the two mixtures are analyzed and compared to determine if the agent modulated, increased, promoted, 5 reduced, or blocked the phosphorylation of survivin or the binding of survivin to the p34^{cdc2}-cyclin B1 kinase complex. Likewise in the assay for phosphorylated survivin/caspase-9 or unphosphorylated survivin/caspase-9 (See Example 3), phosphorylated survivin is mixed with caspase-9 or unphosphorylated survivin is mixed with either proform/active caspase-9 of ~46 kDa and ~35 kDa, respectively, in 10 the presence and absence of a test agent to determine if the agent modulated, increased, promoted, reduced, or blocked the interaction of the two proteins.

Agents that block or reduce the association of survivin with the p34^{cdc2}-cyclin B1 kinase complex or association of survivin and caspase-9 may be identified by their ability to decrease the amount of association present in the sample containing 15 the test agent. Agents that block or reduce the phosphorylation of survivin by p34^{cdc2}-cyclin B1 kinase complex may be identified by their ability to decrease the amount of survivin in the sample containing the test agent.

As used herein, an agent is said to reduce or block the phosphorylation of survivin by p34^{cdc2}-cyclin B1 kinase complex when the presence of the agent 20 decreases the amount of survivin or totally blocks the phosphorylation of survivin. One class of agents will reduce or block the phosphorylation of survivin by binding to the p34^{cdc2}-cyclin B1 kinase complex while another class of agents will reduce or block the phosphorylation of survivin by binding to survivin.

As used herein, an agent is said to reduce or block survivin/p34^{cdc2}-cyclin B1 25 kinase complex association when the presence of the agent decreases the extent to which or prevents the kinase complex from becoming associated with survivin. One class of agents will reduce or block the association by binding to the p34^{cdc2}-cyclin

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B1 kinase complex while another class of agents will reduce or block the association by binding to survivin.

As used herein, an agent is said to reduce or block phosphorylated survivin/caspase-9 complex or unphosphorylated survivin/procaspase-9 complex
5 association when the presence of the agent decreases the extent to which or prevents the caspase from becoming associated with survivin. One class of agents will reduce or block the association by binding to caspase-9 or procaspase-9 while another class of agents will reduce or block the association by binding to survivin.

Agents that are assayed in the above methods can be randomly selected or
10 rationally selected or designed. As used herein, an agent is said to be randomly selected when the agent is chosen randomly without considering the specific sequences involved in the association of survivin with the p34^{cdc2}-cyclin B1 kinase complex or survivin with caspase-9. An example of randomly selected agents is the use a chemical library or a peptide combinatorial library, or a growth broth of an
15 organism.

As used herein, an agent is said to be rationally selected or designed when the agent is chosen on a nonrandom basis which takes into account the sequence of the target site and/or its conformation in connection with the agent's action. As described above, there are at least two sites of action for agents that block
20 survivin/p34^{cdc2}-cyclin B1 kinase complex interaction and survivin/caspase-9 interaction: the binding partner contact site on survivin and the survivin contact site on the kinase complex or on caspase-9. Agents can be rationally selected or rationally designed by utilizing the peptide sequences that make up the contact sites of survivin/p34^{cdc2}-cyclin B1 kinase complex and of survivin/caspase-9 complex.
25 For example, a rationally selected peptide agent can be a peptide whose amino acid sequence is identical to the survivin contact site on the kinase complex or on caspase-9. Such an agent will reduce or block the association of survivin with the kinase

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complex by binding to the p34^{cdc2}-cyclin B1 kinase complex or the association of survivin by binding to caspase-9.

The agents of the present invention can be, as examples, peptides, small molecules, vitamin derivatives, as well as carbohydrates. A skilled artisan can readily recognize that there is no limit as to the structural nature of the agents of the present invention.

The peptide agents of the invention can be prepared using standard solid phase (or solution phase) peptide synthesis methods, as is known in the art. In addition, the DNA encoding these peptides may be synthesized using commercially available oligonucleotide synthesis instrumentation and produced recombinantly using standard recombinant production systems. The production using solid phase peptide synthesis is necessitated if non-gene-encoded amino acids are to be included.

Another class of agents of the present invention are antibodies immunoreactive with critical positions of survivin, the p34^{cdc2}-cyclin B1 kinase complex, or caspase-9. Antibodies may be obtained by immunization of suitable mammalian subjects with peptides, containing as antigenic regions, those portions of the survivin or binding partner, intended to be targeted by the antibodies. Critical regions include the contact sites involved in the association of survivin with the kinase complex, particularly, the region spanning amino acid residue 34 of survivin.

As used herein, survivin protein (or survivin) refers to a protein that has the amino acid sequence of human survivin depicted in Ambrosini *et al.* (1997). The term "survivin protein" also includes naturally occurring allelic variants of survivin and naturally occurring proteins that have a slightly different amino acid sequence than that specifically recited above. Allelic variants, though possessing a slightly different amino acid sequence than those recited above, will still have the requisite ability to inhibit cellular apoptosis.

As used herein, the survivin family of proteins refers to survivin proteins that

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have been isolated from organisms in addition to humans. The methods used to identify and isolate other members of the survivin family of proteins are readily available and described in application Serial No. 08/975,080.

5 The survivin proteins used in the assays or other embodiments of the present invention are preferably isolated from. As used herein, a protein is said to be isolated when physical, mechanical or chemical methods are employed to remove the survivin protein from cellular constituents that are normally associated with the survivin protein. A skilled artisan can readily employ standard purification methods to obtain an isolated survivin protein.

10 The survivin proteins used in the present invention further include conservative variants of the survivin proteins herein described. A conservative variant refers to alterations in the amino acid sequence that do not adversely affect the ability of the survivin protein to bind to a survivin binding partner, such as p34^{cdc2}-cyclin B1 kinase complex and caspase-9, and/or to inhibit cellular apoptosis. A
15 substitution, insertion, or deletion is said to adversely affect the survivin protein when the altered sequence prevents the survivin protein from associating with a survivin binding partner and/or prevents the survivin protein or survivin protein from inhibiting cellular apoptosis. For example, the overall charge, structure or hydrophobic/hydrophilic properties of survivin can be altered without adversely
20 affecting the activity of survivin. Accordingly, the amino acid sequence of survivin can be altered, for example to render the peptide more hydrophobic or hydrophilic, without adversely affecting the activity of survivin.

The allelic variants, the conservative substitution variants and the members of the survivin family of proteins retain the ability to inhibit cellular apoptosis. Such
25 proteins will ordinarily have an amino acid sequence having at least about 75% amino acid sequence identity with the human survivin sequence, more preferably at least about 80%, even more preferably at least about 90%, and most preferably at

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least about 95%. Identity or homology with respect to such sequences is defined herein as the percentage of amino acid residues in the candidate sequence that are identical with the known peptides, after aligning the sequences and introducing gaps, if necessary, to achieve the maximum percent homology, and including any
5 conservative substitutions as being homologous. N-terminal, C-terminal or internal extensions, deletions, or insertions into the peptide sequence shall not be construed as affecting homology.

Homology or identity as used above is determined by **BLAST** (Basic Local Alignment Search Tool) analysis using the algorithm employed by the programs
10 **blastp**, **blastn**, **blastx**, **tblastn** and **tblastx** (Karlin, et al. Proc. Natl. Acad. Sci. USA 87: 2264-2268 (1990) and Altschul, S. F. J. Mol. Evol. 36: 290-300(1993), fully incorporated by reference) which are tailored for sequence similarity searching. The approach used by the **BLAST** program is to first consider similar segments between a query sequence and a database sequence, then to evaluate the statistical significance
15 of all matches that are identified and finally to summarize only those matches which satisfy a preselected threshold of significance. For a discussion of basic issues in similarity searching of sequence databases, see Altschul et al. (Nature Genetics 6: 119-129 (1994)) which is fully incorporated by reference. The search parameters for **histogram**, **descriptions**, **alignments**, **expect** (i.e., the statistical significance
20 threshold for reporting matches against database sequences), **cutoff**, **matrix** and **filter** are at the default settings. The default scoring matrix used by **blastp**, **blastx**, **tblastn**, and **tblastx** is the **BLOSUM62** matrix (Henikoff, et al. Proc. Natl. Acad. Sci. USA 89: 10915-10919 (1992), fully incorporated by reference). For **blastn**, the scoring matrix is set by the ratios of **M** (i.e., the reward score for a pair of matching
25 residues) to **N** (i.e., the penalty score for mismatching residues), wherein the default values for **M** and **N** are 5 and -4, respectively.

The present invention also includes the use of survivin mimetics. Survivin

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mimetics are compounds that mimic the activity of survivin peptides. They are structurally similar to survivin peptides but have a chemically modified peptide backbone. Survivin mimetics may have significant advantages over polypeptide embodiments, including, for example: more economical production; greater
5 chemical stability; enhanced pharmacological properties (half-life, absorption, potency, efficacy, *etc.*); altered specificity (*e.g.*, a broad-spectrum of biological activities); reduced antigenicity; and others.

Thus, the survivin proteins of the present invention include molecules having the amino acid sequences disclosed in Ambrosini *et al.* (1997); fragments thereof
10 having a consecutive sequence of at least about 3, 5, 10, or 15 or more amino acid residues of the survivin protein; amino acid sequence variants of such sequence wherein an amino acid residue has been inserted N- or C-terminal to, or within, the survivin sequence; amino acid sequence variants of the survivin sequence, or their fragments as defined above, that have been substituted by another residue.
15 Contemplated variants further include those containing predetermined mutations by, *e.g.*, homologous recombination, site-directed or PCR mutagenesis, the corresponding survivin proteins of other animal species, including but not limited to rabbit, rat, murine, porcine, bovine, ovine, equine, and non-human primate species, the alleles or other naturally occurring variants of the survivin family of proteins; and
20 derivatives wherein the survivin protein has been covalently modified by substitution, chemical, enzymatic, or other appropriate means with a moiety other than a naturally occurring amino acid (for example a detectable moiety such as an enzyme or radioisotope). The recombinant survivin protein also can be used to solve the molecular structure of survivin by 2D-NMR, circular dichroism and X-ray
25 crystallography, thus integrating the site-directed mutagenesis approach and the rational design of specific small molecule inhibitors.

As used herein, the term "caspase-9" includes any caspase-9 protein that

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interacts with phosphorylated survivin. The term includes the activated form of the caspase-9, naturally occurring allelic variants of caspase-9, naturally occurring caspase-9 proteins isolated from different sources, variants of caspase-9 that interact with survivin, and fragments of caspase-9 that interact with survivin. As used herein, 5 procaspase-9 refers to the proform of caspase-9 (see Zou, H. *et al.*, 1999).

As used herein, the term "p34^{cdc2}-cyclin B1 kinase complex" refers to a complex comprising p34^{cdc2} and cyclin B1 kinase. The term "p34^{cdc2}" includes any p34^{cdc2} protein that forms a complex with cyclin B1 and the resulting complex phosphorylates and binds survivin. The term includes naturally occurring allelic 10 variants of p34^{cdc2}, naturally occurring p34^{cdc2} proteins isolated from different sources, variants of p34^{cdc2} that interact with survivin, and fragments of p34^{cdc2} that interact with survivin. The term "cyclin B1" includes any cyclin B1 protein that forms a complex with p34^{cdc2} and the resulting complex phosphorylates and binds survivin. The term includes naturally occurring allelic variants of cyclin B1, 15 naturally occurring cyclin B1 proteins isolated from different sources, variants of cyclin B1 that interact with survivin, and fragments of cyclin B1 that interact with survivin.

Assays of the invention may be modified or prepared in any available format, including high-throughput assays that monitor the binding of survivin and p34^{cdc2} - 20 cyclin B1 kinase complex or the binding of survivin to caspase-9. In many drug screening programs which test libraries of compounds, high throughput assays are desirable in order to maximize the number of compounds surveyed in a given period of time. Assays which are performed in cell-free systems, such as may be derived with purified or semi-purified proteins, are often preferred as "primary" screens in 25 that they can be generated to permit rapid development and relatively easy detection of an alteration in a molecular target which is mediated by a test compound. Moreover, the effects of cellular toxicity and/or bioavailability of the test compound

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can be generally ignored in the *in vitro* system, the assay instead being focused primarily on the effect of the drug on the molecular target as may be manifest in an inhibition of, for instance, binding between two molecules.

In one embodiment of a high-throughput screening assay survivin, p34^{cdc2}-cyclin B1 kinase complex, and caspase-9 may be added to the wells of a microtiter plate in the presence and absence of the agents to be tested. Caspase-9 substrates that are commercially available could be included in the wells and the cleavage or release of the substrate can be assayed using continuous-reading instruments as described in Quan *et al.* (1995) *J. Biol. Chem.* 270:10377-10379 or Stennicke *et al.* (1997) *J. Biol. Chem.* 272: 25719-25723.

In another embodiment of a high-throughput screening assay, the assay can be formulated to detect the ability of a test compound to inhibit binding, competitive or non-competitive, of survivin to the p34^{cdc2}-cyclin B1 kinase complex or of survivin to caspase-9. The inhibition of complex formation may be detected by a variety of techniques. For instance, modulation of the formation of complexes can be quantitated using, for example, detectably labeled survivin such as radiolabeled (*e.g.* ³²P, ³⁵S, ¹⁴C or ³H), fluorescently labeled (*e.g.* FITC), or enzymatically labeled survivin, by immunoassay, or by chromatographic detection.

To illustrate, there are a variety of binding assays known in the art for detecting H2-receptor antagonists based on their ability to inhibit binding of known H2 receptor ligands (including other antagonists). In one embodiment, the *in vitro* assay described by Norris *et al.* (1985) *Agents Actions* 16:170, can be used to score for substituted N-heteroaromatics which bind to the H2-receptor (and which may be further characterized in subsequent biological assays as agonists or antagonists of that receptor). In particular, the Norris *et al.* assay utilizes a competitive binding assay which detects inhibition of ³H-tiotidine binding to guinea-pig cerebral cortex H2 receptors.

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In certain assays, the receptor, subunits thereof, or even the other target protein to which binding is to be assessed, can be provided in a pure or semi-pure form. Typically, for those instances, it will be desirable to immobilize one of the proteins to facilitate separation of protein-protein complexes from uncomplexed forms, as well as to accommodate automation of the assay. Binding of one protein to a second protein, for instance binding of survivin to p34^{cdc2}-cyclin B1 kinase complex or binding of survivin to caspase-9, in the presence and absence of a candidate agent, can be accomplished in any vessel suitable for containing the reactants. Examples include microtitre plates, test tubes, and micro-centrifuge tubes.

10 In one embodiment, a fusion protein can be provided which adds a domain that allows the protein to be bound to a matrix. For example, glutathione-S-transferase(GST) fusion proteins can be adsorbed onto glutathione sepharose beads (Sigma Chemical, St. Louis, Mo.) or glutathione derivatized microtitre plates, which are then combined with the remaining labeled protein

15 (ligand) and the test compound. The mixture is then incubated under conditions conducive to complex formation. Following incubation, the beads are washed to remove any unbound ligand, and the matrix immobilized label determined directly, or in the supernatant after the protein/ligand complexes are subsequently dissociated. When amenable, the complexes can be dissociated from the matrix, separated by

20 SDS-PAGE, and the level of ligand found in the bead fraction quantitated from the gel using standard electrophoretic techniques.

Other techniques for immobilizing proteins on matrices are also available for use in the subject assay. For instance, either protein can be immobilized utilizing conjugation of biotin and streptavidin. Biotinylated molecules can be prepared from

25 biotin-NHS (N-hydroxy-succinimide) using techniques well known in the art (*e.g.*, biotinylation kit, Pierce Chemicals, Rockford, Ill.), and immobilized in the wells of streptavidin-coated 96 well plates (Pierce Chemical). Alternatively, antibodies

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reactive with the protein but which do not interfere with ligand binding can be derivatized to the wells of the plate, and the first protein, such as polymerized tubulin, trapped in the wells by antibody conjugation. As above, preparations of a ligand and a test compound are incubated in the protein-presenting wells of the plate, and the amount of protein/ligand complex trapped in the well can be quantitated. Exemplary methods for detecting such complexes, in addition to those described above, include immunodetection of complexes using antibodies reactive with the ligand, or which are reactive with the protein and compete for binding with the ligand.

Assays of the invention may also include any available *in vivo* based screening system to detect the interactions between two proteins. For instance, commonly available genetic systems are capable of rapidly detecting which proteins interact with a known protein, determining which domains of the proteins interact and identifying agents which modulate the interaction between two proteins. One such system is the yeast two-hybrid system wherein two proteins are expressed in yeast: one protein of interest fused to a DNA-binding domain and the other protein of interest fused to a transcriptional activation domain (Fields *et al.* (1989) *Nature* 340:245; Gyuris *et al.* (1993) *Cell* 75:791; Harper *et al.* (1993) *Cell* 75:805; Serrano *et al.* (1993) *Nature* 366:704; and Hannon *et al.* (1993) *Genes & Dev.* 7:2378).

The amount of phosphorylated survivin can be quantitated by methods routinely practiced by the skilled artisan. One well-known method employs radiolabeling, electrophoresis, and scintillation counting. After electrophoresis of the phosphorylated samples on SDS-PAGE, the gel is autoradiographed and gel bands containing phosphorylated survivin are excised and placed in a scintillation counter for determining the amount of phosphorylation (US Patent 6,028,171). Alternatively, the phosphorylated samples are precipitated with TCA and counted in a scintillation counter (US Patent 6,028,171). A second well-known method uses an antibody that

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recognizes phosphorylated compounds. Example 1 discloses an example of an antibody that binds specifically to survivin phosphorylated at Thr34. The antibody does not recognize unphosphorylated survivin. An antibody can be used to directly immunoprecipitate phosphorylated survivin from a sample. After

5 immunoprecipitation, the sample can be counted in a scintillation counter. Also, immunoprecipitation with survivin antibody followed by electrophoresis of immunoprecipitated sample, gel transfer onto nitrocellulose, and immunoblot with an antibody is another method of using anti-phosphotyrosine antibody to quantitate the amount of phosphorylated compound (US Patent 5,635,388). Moreover, the amount

10 of phosphorylated survivin can be determined by quantitative densitometry. Recently, Angeles *et al.* developed a new method of quantitating phosphorylation using an anti-phosphotyrosine antibody with a colorimetric readout or a lanthanide (europium)-labeled anti-phosphotyrosine antibody with a fluorometric detection (Angeles *et al.*, Anal Biochem (2000), 278(2):93). Further, quantitation of

15 phosphorylated survivin can be performed using microtiter plates.

Kinase assays are useful as tools for *in vitro* detection of agents that modulate the binding of survivin and p34^{cdc2}-cyclin B1 kinase or survivin and caspase-9. The amount of phosphorylated survivin in the presence and absence of the agent would enable the skilled artisan to determine whether the agent inhibits binding of survivin

20 and p34^{cdc2}-cyclin B1 kinase or survivin and caspase-9.

The above assays may be modified to screen for agents that dephosphorylate survivin at Thr34. These agents would be expected to act as potential tumor suppressors, removing survivin phosphorylation and preventing the formation of the anti-apoptotic complex with caspase. The assay can be performed by modifying a

25 standard *in vitro* kinase assay to detect or quantitate the amount of dephosphorylated survivin in the presence of the agent and compared to that in the absence of the agent. Potential libraries could be screened for proteins that dephosphorylate survivin.

B. Apoptosis Assays

As a second step in the identification of agents which modulate phosphorylation of survivin, the interaction between survivin and p34^{cdc2}-cyclin B1 kinase complex, or the interaction between survivin and caspase-9, agents identified by the primary screen may then be evaluated in an apoptosis assay to determine the apoptotic activity of the agent. Specific examples of apoptosis assays are widely available in the art as exemplified in the following references.

- Assays for apoptosis in lymphocytes are disclosed by: Li *et al.*, (1995) *Science* 268:429-431; Gibellini *et al.* (1995) *Br. J. Haematol.* 89:24-33; Martin *et al.* (1994) *J. Immunol.* 152:330-42; Terai *et al.*, (1991) *J. Clin. Invest.* 87:1710-5; Dhein *et al.* (1995) *Nature* 373:438-441; Katsikis *et al.* (1995) *J. Exp. Med.* 181:2029-2036; Westendorp *et al.* (1995) *Nature* 375:497; and DeRossi *et al.* (1994) *Virology* 198:234-44.
- Assays for apoptosis in fibroblasts are disclosed by: Vossbeck *et al.* (1995) *Int. J. Cancer* 61:92-97; Goruppi *et al.* (1994) *Oncogene* 9:1537-44; Fernandez *et al.* (1994) *Oncogene* 9:2009-17; Harrington *et al.* (1994) *EMBO J.*, 13:3286-3295; and Itoh *et al.*, (1993) *J. Biol. Chem.* 268:10932-7.
- Assays for apoptosis in neuronal cells are disclosed by: Melino *et al.* (1994) *Mol. Cell Biol.* 14:6584-6596; Rosenblum *et al.* (1994) *Ann. Neurol.* 36:864-870; Sato *et al.* (1994) *J. Neurobiol.* 25:1227-1234; Ferrari *et al.* (1995) *J. Neurosci.* 15:2857-2866; Talley *et al.* (1995) *Mol. Cell Biol.* 15:2359-2366; Talley *et al.* (1995) *Mol. Cell. Biol.* 15:2359-2366; and Walkinshaw *et al.* (1995) *J. Clin. Invest.* 95:2458-2464.
- Assays for apoptosis in insect cells are disclosed by: Clem *et al.* (1991) *Science* 254:1388-90; Crook *et al.* (1993) *J. Virol.* 67:2168-74; Rabizadeh *et al.* (1993) *J. Neurochem.* 61:2318-21; Birnbaum *et al.* (1994) *J. Virol.* 68:2521-8, 1994;

and Clem *et al.* (1994) *Mol. Cell. Biol.* 14:5212-5222.

C. Uses for Agents that Block the Association of Survivin with p34^{cdc2}-Cyclin B1 Kinase Complex

5 As provided in the Background section, survivin inhibits cellular apoptosis. Agents that reduce or block the phosphorylation of survivin, the interaction of survivin with p34^{cdc2}-cyclin B1 kinase complex, or the interaction of survivin to caspase-9 can be used to modulate biological and pathologic processes associated with survivin function and activity.

10 In detail, a biological or pathological process mediated by survivin can be modulated by administering to a subject an agent that blocks the interaction of survivin with p34^{cdc2}-cyclin B1 kinase complex, the phosphorylation of survivin, or the interaction of survivin and caspase-9.

15 As used herein, a subject can be any mammal, so long as the mammal is in need of modulation of a pathological or biological process mediated by survivin. The term "mammal" is meant an individual belonging to the class *Mammalia*. The invention is particularly useful in the treatment of human subjects.

20 As used herein, a biological or pathological process mediated by phosphorylation survivin, survivin/p34^{cdc2}-cyclin B1 kinase complex, or survivin/caspase-9 interaction of a cell refers to the wide variety of cellular events mediated by survivin. Pathological processes refer to a category of biological processes which produce a deleterious effect. For example, a pathological process mediated by survivin is the inhibition of cellular apoptosis in tumor cells. This pathological process can be modulated using agents that reduce or block survivin phosphorylation, survivin/p34^{cdc2}-cyclin B1 kinase complex binding, or
25 survivin/caspase-9 binding.

As used herein, an agent is said to modulate a pathological process when the

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agent reduces the degree or severity of the process. For example, an agent is said to modulate tumor cell proliferation when the agent decreases the rate or extent of cell division.

5 **D. Methods of Inhibiting the Growth of Tumors Using Survivin Antagonists**

As used herein, the term "survivin antagonist" encompasses any compound that antagonizes the expression of survivin or the activity of survivin. Examples of survivin antagonists include survivin mutants, survivin antibodies, survivin antisense
10 nucleic acids, and any compound that will antagonize or inhibit the activity or the expression of survivin. Specific examples of survivin antagonists include but are not limited to dominant-negative survivin mutant Thr³⁴→Ala and survivin antisense nucleic acid having a specific sequence.

An antisense survivin molecule is complementary to and capable of
15 hybridizing with the RNA encoded by a survivin gene (the "sense gene"). An antisense survivin molecule is used to inhibit the expression of the survivin gene thereby inhibiting tumor growth and preventing and treating diseases associated with tumor growth.

Antisense nucleic acids are preferably constructed by inverting the coding
20 region of the sense gene relative to its normal presentation for transcription to allow for transcription of its complement, hence the complementariness of the respective RNAs encoded by these DNA's. In order to block the production of mRNA produced by the sense gene, the antisense DNA should preferably be expressed at approximately the same time as the sense gene if the antisense nucleic acid is to be
25 expressed in the cell. The timing must be approximate in the sense that the antisense RNA must be present within the cell to block the function of the RNA encoded by the sense gene. To accomplish this result, the coding region of the antisense DNA is

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often placed under the control of the same promoter as found in the sense gene thereby causing both to be transcribed at the same time.

For reviews of the design considerations and use of antisense oligonucleotides, see Uhlmann *et al.* (1990) and Milligan *et al.* (1993), the
5 disclosures of which are hereby incorporated by reference.

While in principle, antisense nucleic acids having a sequence complementary to any region of the survivin gene may be useful in the tumor growth inhibition methods of the present invention, nucleic acid molecules complementary to a portion of the survivin mRNA transcript including the translation initiation codon are
10 particularly preferred. Also preferred are nucleic acid molecules complementary to a portion of the survivin mRNA transcript lying within about 40 nucleotides upstream (the 5' direction) or about 40 nucleotides downstream (the 3' direction) from the translation initiation codon.

In another embodiment, antisense oligonucleotides which hybridize or anneal
15 to at least a portion of the survivin mRNA in a cell may be used in the methods of the invention. Such oligonucleotides are typically short in length and fairly easily diffusible into a cell. Such antisense oligonucleotides include, but are not limited to, polydeoxynucleotides containing 2'-deoxy-D-ribose, polyribonucleotides containing D-ribose, any other type of polynucleotide which is an N-glycoside of a purine or
20 pyrimidine base, or other polymers containing nonnucleotide backbones (*e.g.*, protein nucleic acids and synthetic sequence specific nucleic acid polymers commercially available) or nonstandard linkages, providing that the polymers contain nucleotides in a configuration which allows for base pairing and base stacking such as is found in DNA and RNA. They may include double- and single-stranded DNA, as well as
25 double- and single-stranded RNA and DNA:RNA hybrids, and also include, as well as unmodified forms of the polynucleotide or oligonucleotide, known types of modifications, for example, labels which are known to those skilled in the art, "caps",

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methylation, substitution of one or more of the naturally occurring nucleotides with analogue, internucleotide modifications such as, for example, those with uncharged linkages (*e.g.*, methyl phosphonates, phosphorotriesters, phosphoramidates, carbamates, *etc.*) and with charged linkages or sulfur-containing linkages (*e.g.*,
5 phosphorothioates, phosphorodithioates, *etc.*), those containing pendant moieties, such as, for example, proteins (including nucleases, nuclease inhibitors, toxins, antibodies, signal peptides, poly-L-lysine, *etc.*) and saccharides (*e.g.*, monosaccharides, *etc.*), those with intercalators (*e.g.*, acridine, psoralen, *etc.*), those containing chelators (*e.g.*, metals, radioactive metals, boron, oxidative metals, *etc.*),
10 those containing alkylating agents, those with modified linkages (*e.g.*, alpha anomeric nucleic acids, *etc.*).

The terms "nucleoside", "nucleotide" and "nucleic acid" as used concerning survivin antisense nucleic acid molecules, include those moieties which contain not only the known purine and pyrimidine bases, but also other heterocyclic bases which
15 have been modified. Such modifications include methylated purines and pyrimidines, acylated purines and pyrimidines, or other heterocycles. Modified nucleosides or nucleotides will also include modifications on the sugar moiety, *e.g.*, wherein one or more of the hydroxyl groups are replaced with halogen, aliphatic groups, or are functionalized as ethers, amines, or the like.

20 The present invention provides a method of inhibiting tumor growth by administering a survivin antagonist to the site of tumor growth. As shown in the examples, subclones of YUSAC-2 human melanoma cells stably transfected with survivin antagonist, survivin antisense or a dominant-negative survivin mutant (Thr³⁴-Ala) under the control of a tetracycline (tet)-regulated promoter were
25 generated. Cells expressing these survivin antagonists underwent spontaneous apoptosis *in vitro* and did not form tumors upon subcutaneous injection into CB.17 mice. Expression of survivin Thr³⁴-Ala mutant in established tumors slowed their

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growth and caused apoptosis and aberrant mitotic progression in melanoma cells. Manipulation of the apoptotic pathway by targeting survivin may be beneficial in cancer therapy. The survivin antagonists of the present invention can be used to treat patients diagnosed with cancer.

5

E. Administration of Agents that Modulate Survivin/ p34^{cdc2}-cyclin B1 Kinase Complex Interactions and Survivin/Caspase-9 Interactions

The agents of the present invention, such as agents that block survivin phosphorylation, survivin/p34^{cdc2}-cyclin B1 kinase complex association, or
10 survivin/caspase-9 interaction can be administered via parenteral, subcutaneous, intravenous, intramuscular, intraperitoneal, transdermal, or buccal routes. Alternatively, or concurrently, administration may be by the oral route. The dosage administered will be dependent upon the age, health, and weight of the recipient, kind of concurrent treatment, if any, frequency of treatment, and the nature of the effect
15 desired. For example, as a means of blocking survivin inhibition of apoptosis in tumor cells or to inhibit survivin induced angiogenesis, an agent that blocks survivin phosphorylation, survivin/p34^{cdc2}-cyclin B1 kinase complex association is administered systemically or locally to the individual being treated. As described below, there are many methods that can readily be adapted to administer such agents.

20 The present invention further provides compositions containing one or more agents that block phosphorylation of survivin, survivin/p34^{cdc2}-cyclin B1 kinase complex association, or survivin/caspase-9 association. While individual needs vary, a determination of optimal ranges of effective amounts of each component in the composition is within the skill of the art. Typical dosages comprise 0.1 to 100 µg/kg
25 body wt. The preferred dosages comprise 0.1 to 10 µg/kg body wt. The most preferred dosages comprise 0.1 to 1 µg/kg body wt.

In addition to the pharmacologically active agent, the compositions of the

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present invention may contain suitable pharmaceutically acceptable carriers comprising excipients and auxiliaries which facilitate processing of the active compounds into preparations which can be used pharmaceutically for delivery to the site of action. Suitable formulations for parenteral administration include aqueous solutions of the active compounds in water-soluble form, for example, water-soluble salts. In addition, suspensions of the active compounds as appropriate oily injection suspensions may be administered. Suitable lipophilic solvents or vehicles include fatty oils, for example, sesame oil, or synthetic fatty acid esters, for example, ethyl oleate or triglycerides. Aqueous injection suspensions may contain substances which increase the viscosity of the suspension include, for example, sodium carboxymethyl cellulose, sorbitol, and/or dextran. Optionally, the suspension may also contain stabilizers. Liposomes can also be used to encapsulate the agent for delivery into the cell.

The pharmaceutical formulation for systemic administration according to the invention may be formulated for enteral, parenteral or topical administration. Indeed, all three types of formulations may be used simultaneously to achieve systemic administration of the active ingredient.

Suitable formulations for oral administration include hard or soft gelatin capsules, pills, tablets, including coated tablets, elixirs, suspensions, syrups or inhalations and controlled release forms thereof.

In practicing the methods of this invention, the compounds of this invention may be used alone or in combination, or in combination with other therapeutic or diagnostic agents. In certain preferred embodiments, the compounds of this invention may be coadministered along with other compounds typically prescribed for these conditions according to generally accepted medical practice, such as chemotherapeutic agents.

F. Methods of Delivering a Survivin Antagonists

Survivin antagonists that are not nucleic acid molecules can be delivered to target sites as discussed under Section E, above. Survivin antagonists that are nucleic acid molecules can be delivered to target sites as discussed below.

5 Gene therapy is a method for delivering functionally active therapeutic or other forms of genes into targeted cells. Initial efforts of gene transfer into somatic tissues have relied on indirect means called *ex vivo* gene therapy, wherein target cells are removed from the body, transfected or infected with vectors carrying recombinant genes, and re-implanted into the body. Techniques currently used to transfer DNA *in*
10 *vitro* into cells include calcium phosphate-DNA precipitation, DEAE-Dextran transfection, electroporation, liposome mediated DNA transfer or transduction with recombinant viral vectors. These transfection protocols have been used to transfer DNA into different cell types including epithelial cells (U.S. Pat. No. 4,868,116; Morgan *et al.*, 1987), endothelial cells (WO89/05345), hepatocytes (Ledley *et al.*,
15 1987; Wilson *et al.*, 1990) fibroblasts (Rosenberg *et al.*, 1988; U.S. Pat. No. 4,963,489), lymphocytes (U.S. Pat. No. 5,399,346; Blaese *et al.*, 1995) and hematopoietic stem cells (Lim *et al.*, 1989; U.S. Pat. No. 5,399,346).

Direct *in vivo* gene transfer has been carried out with formulations of DNA trapped in liposomes (Ledley *et al.*, 1987), or in proteoliposomes that contain viral
20 envelope receptor proteins (Nicolau *et al.*, 1983), and with DNA coupled to a polylysine-glycoprotein carrier complex. In addition, "gene guns" have been used for gene delivery into cells (Australian Patent No. 9068389). Lastly, naked DNA, or DNA associated with liposomes, can be formulated in liquid carrier solutions for injection into interstitial spaces for transfer of DNA into cells (WO90/11092).

25 Viral vectors are often the most efficient gene therapy system, and recombinant replication-defective viral vectors have been used to transduce (*i.e.*, infect) cells both *ex vivo* and *in vivo*. Such vectors include retroviral, adenovirus and

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adeno-associated and herpes viral vectors. Accordingly, in one embodiment the survivin transgene or survivin antisense molecule can be subcloned into an appropriate vector and transferred into a cell or tissue by gene transfer techniques discussed above.

- 5 In another embodiment, the survivin antisense molecule can be provided to the cell or tissue using a transfection facilitating composition, such as cationic liposomes containing desired polynucleotide.

G. Combination Therapy

- 10 Agents and survivin antagonists of the present invention, can be provided alone, or in combination with other agents that modulate a particular biological or pathological process. For example, an agent of the present invention that inhibits survivin/p34^{cdc2}-cyclin B1 kinase complex association, survivin phosphorylation, or survivin/caspase-9 interaction can be administered in combination with anti-cancer
15 agents in methods to control cancer cell growth. Likewise, a survivin antagonist can be administered in combination with anti-cancer agents to inhibit growth of tumor. As used herein, two agents are said to be administered in combination when the two agents are administered simultaneously or are administered independently in a fashion such that the agents will act at the same time.

- 20 Inhibition of survivin activity can be used in combination with conventional chemotherapies or anti-angiogenesis agents. The timing for using such agents in combination with agents that inhibit survivin activity depends upon the chemotherapeutic agent used and the tumor cell type treated. Examples of chemotherapeutic agents that can be used in combination with agents that effect
25 survivin activity, include, but are not limited to alkylating agents, such as cyclophosphamide (CTX; cytoxan), chlorambucil (CHL; leukeran), cisplatin (CisP; platinol) busulfan (myleran), melphalan, carmustine (BCNU), streptozotocin,

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triethylenemelamine (TEM), mitomycin C, and the like alkylating agents; anti-metabolites, such as methotrexate (MTX), etoposide (VP16; vepesid) 6-mercaptapurine (6MP), 6-thioguanine (6TG), cytarabine (Ara-C), 5-fluorouracil (5FU), dacarbazine (DTIC), and the like anti-metabolites; antibiotics, such as
5 actinomycin D, doxorubicin (DXR; adriamycin), daunorubicin (daunomycin), bleomycin, mithramycin and the like antibiotics; alkaloids, such as vinca alkaloids such as vincristine (VCR), vinblastine, and the like; and other antitumor agents, such as taxol and taxol derivatives, the cytostatic agents glucocorticoids such as dexamethasone (DEX; decadron) and corticosteroids such as prednisone, nucleoside
10 enzyme inhibitors such as hydroxyurea, amino acid depleting enzymes such as asparaginase, and the like diverse antitumor agents.

The use of the cytotoxic agents described above in chemotherapeutic regimens is generally well characterized in the cancer therapy arts, and their use herein falls under the same considerations for monitoring tolerance and effectiveness
15 and for controlling administration routes and dosages, with some adjustments. For example, the actual dosages of the cytotoxic agents may vary depending upon the patient's cultured cell response determined by using the present histoculture methods. Generally, the dosage will be reduced compared to the amount used in the absence of agents that effect Survivin activity/expression.

20 Typical dosages of an effective cytotoxic agent can be in the ranges recommended by the manufacturer, and where indicated by *in vitro* responses or responses in animal models, can be reduced by up to about one order of magnitude concentration or amount. Thus, the actual dosage will depend upon the judgment of the physician, the condition of the patient, and the effectiveness of the therapeutic
25 method based on the *in vitro* responsiveness of the primary cultured malignant cells or histocultured tissue sample, or the responses observed in the appropriate animal models.

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Without further description, it is believed that one of ordinary skill in the art can, using the preceding description and the following illustrative examples, make and utilize the compounds of the present invention and practice the claimed methods.

5 The following working examples therefore, specifically point out preferred embodiments of the present invention, and are not to be construed as limiting in any way the remainder of the disclosure. All articles, publications, patents and documents referred to throughout this application are hereby incorporated by reference in their entirety.

10

EXAMPLES

Materials and Methods

Cell lines: Cervical carcinoma HeLa cells (American Type Culture Collection) were maintained in Dulbecco's modified minimal Eagle's medium (DMEM; GIBCO BRL, Grand Island, NY) medium, containing 10% fetal calf serum (FCS, Gemini Bio-Products, Calabasas, CA), and antibiotics. The YUSAC-2 melanoma cell line expressing endogenous survivin was characterized previously (Grossman *et al.*, 1999). Cell-cycle synchronization was carried out as described (Li *et al.*, 1998), by culture in the presence of 400 μ M mimosine (G1), 2 mM thymidine (S) or 0.4 μ g/ml nocodazole (G2/M), for 16-h at 37C. Cell cycle arrest under the various conditions was confirmed by DNA content analysis by propidium iodide staining and flow cytometry.

Antibodies: An affinity-purified rabbit antibody to wild type recombinant survivin was described previously (Grossman *et al.*, 1999). Anti-survivin mAb 8E2 (IgG1) was used in immunofluorescence, as described (Li *et al.*, 1998). Rabbit antibodies to caspase-9 (1:2000 dilution) and caspase-8 (1 μ g/ml) were purchased from

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Pharmingen, San Diego, CA. Rabbit antibodies to p34^{cdc2} (1-10 µg/ml) and Cdk2 (10 µg/ml) were purchased from Zymed, San Francisco, CA, and Santa Cruz, respectively. A rat antibody to HA (0.1-5 µg/ml) was from Boehringer Mannheim, San Diego, CA. A rabbit antibody was raised against the survivin peptide sequence

5 L²⁸EGCACT*PERMAEAGFI⁴⁴ (SEQ ID NO: 1) containing phosphorylated Thr³⁴(*), according to published protocols (Grossman *et al.*, 1999). Aliquots of the immune serum were precleared by overnight incubation with Sepharose 2B under constant agitation at 4 °C, applied to a Sepharose column containing the parent non-phosphorylated survivin peptide L²⁸EGCACTPERMAEAGFI⁴⁴ (SEQ ID NO: 1), and

10 unbound material was further affinity purified on a phosphorylated L²⁸EGCACT*PERMAEAGFI⁴⁴ (SEQ ID NO: 1) survivin peptide column. Bound immunoglobulins were eluted in 0.1 M glycine, pH 2.5, neutralized, dialyzed overnight against PBS, pH 7.4, and used in immunoblotting experiments at 10 µg/ml. Antibodies that recognize phosphorylated survivin can be used in the

15 methods of the invention and may also be used to monitor the efficacy of treatment with an antagonist of survivin phosphorylation or may be used to detect phosphorylated survivin in cell samples, such as tumor samples by immunohistochemistry or Western blot.

20 *Oligonucleotides, Plasmids and Recombinant Proteins:* Site-directed mutagenesis of the wild type survivin cDNA (Ambrosini *et al.*, 1997) was carried out using the GeneEditor system (Promega, Madison, WI), with the targeting oligonucleotide 5'GGCTGCGCCTGCgCCCCGGAGCGGATG3' (SEQ ID NO: 2) to insert the

25 Thr³⁴→Ala (T34A) substitution, according to the manufacturer's specifications. After antibiotic selection, the mutated plasmid DNA was isolated, and confirmed by DNA sequencing. A survivin(T34A) cDNA was also separately inserted in the

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HindIII/EcoRI sites of pcDNA3 (Invitrogen, San Diego, CA) and pEGFPc1 (Clontech, San Francisco, CA) vectors. HA-tagged constructs encoding wild type survivin and survivin(T34A) were obtained by PCR using a 5'-oligonucleotide 5'CCCAAGCTTATGTATCCGTATGATGTTCTGATTATGCTGGTGCCCCGAC GTTGGCCC' (SEQ ID NO: 3) containing the HA tag and a 3'-oligonucleotide 5'CGGGATCCGGAAGTGGTGCAGCCACTCTG3' (SEQ ID NO: 4, wild type survivin) or 5'ACGAATTCAATCCATGGCAGCCAG3' (SEQ ID NO: 5, survivin(T34A)). PCR products were digested with either *HindIII/BamHI* (522 bp, HA-survivin), or *HindIII/EcoRI* (473 bp, HA-survivin(T34)), directionally cloned in pcDNA3, and confirmed by DNA sequencing. A wild type survivin cDNA in pEGFPc1 was characterized previously (Li *et al.*, 1999).

A caspase-9 cDNA encoding Met¹-Asp³³⁰ was amplified by PCR with oligonucleotides (5'CCCAAGCTTCCATGGACGAAGCGGATCGG3', SEQ ID NO: 6, forward) and (5'CGGAATTCTTAGTCCAGCTGGTCGAAGGTC3', SEQ ID NO: 7, reverse), digested with *HindIII/EcoRI*, directionally cloned in pEGFPc1, and confirmed by DNA sequencing. A caspase 9 dominant negative mutant (Cys²⁸⁷ → Ala) was generated by overlapping PCR by amplifying a 5' product of the caspase-9 cDNA of 892 nt with oligonucleotides 5'CCCAAGCTTCCATGGACGAAGCGGATCGG3' (SEQ ID NO: 6, forward) and 5'GTCTTTCTGCTCCCCACCggcGGCCTGGATGAAAAAGAGC3' (SEQ ID NO: 8, reverse), and a 3' product of 422 nt with oligonucleotides 5'GCTCTTTTTTCATCCAGGCCgccGGTGGGGAGCAGAAAGAC3' (SEQ ID NO: 9, forward) and 5'CGGAATTCTTATGATGTTTTAAAGAAAAGTTTTTCC3' (SEQ ID NO: 10, reverse). Equal concentrations of each gel-purified caspase-9 fragment were amplified by PCR using oligonucleotides 5'CCCAAGCTTCCATGGACGAAGCGGATCGG3' (SEQ ID NO: 6, forward) and 5'CGGAATTCTTATGATGTTTTAAAGAAAAGTTTTTCC3' (SEQ ID NO: 10,

reverse). The resulting product of 1272 nt PCR was digested with *HindIII/EcoRI*, directionally cloned in pcDNA3 or pEGFPc1 and confirmed by DNA sequencing. All plasmids were purified by ion-exchange chromatography (Qiagen, Valencia, CA). Wild type survivin or survivin(T34A) in pGEX2T (Pharmacia, Piscataway, NJ) were
5 expressed as GST fusion proteins in BL21 *E. Coli* as described previously (Li *et al.*, 1998). The recombinant proteins were released from the GST frame by overnight cleavage with thrombin followed by neutralization in 1-M benzamidine and overnight dialysis in PBS, pH 7.4. A caspase-8(C360S) dominant negative mutant (Boldin *et al.*, 1996) was obtained from Dr. V. Dixit (Genentech Inc. San Francisco).

10

Transfection Experiments: For transient transfection, HeLa cells in C-6 tissue culture plates (Costar, Cambridge, MA) at ~60-70% confluency, were incubated in 1 ml of serum-free OptiMEM medium (Life Technologies, Gaithersburg, MD) for 20 min, and transfected with 2.5 µg of the various plasmid cDNAs plus 9 µl Lipofectamine
15 (Life Technologies). After a 5-h incubation at 37 °C, the mixture was aspirated and substituted with complete growth medium for 48-72 h. To generate stable YUSAC-2 transfectants, a survivin(T34A) cDNA was inserted into the *HindIII-SpeI* sites of the pTet-splice vector (Dr. D. Schatz, Yale University School of Medicine), downstream of the regulatory sequences of the Tet-resistance operon (TetO). YUSAC-2 cells
20 were transfected with 0.8 µg pTet-T34A, 0.8 µg of the transactivation/selection plasmid pTet-Neo and 5 µl Lipofectamine in 1 ml of OptiMem. Forty-eight h after transfection, cells were re-plated at low density in 15x150 mm plates in growth medium containing 1.5 mg/ml Geneticin (G418, Life Technologies), 2 mM sodium hydroxide and 0.5 µg/ml Tet (Sigma, St. Louis, MO) (selection medium). After a 3-
25 week culture, ninety-six individual clones were screened for differential growth in the presence or absence of Tet. Three clones exhibited no growth in the absence of Tet, and one of them (F5.C4) was re-cloned by limiting dilution.

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Flow cytometry: HeLa cells transfected with the various GFP constructs in the presence

or in the absence of the broad-spectrum caspase inhibitor Z-VAD-fmk (20 μ M) were
5 harvested after 48 h (non-adherent and adherent cells), washed in PBS, pH 7.0, and
fixed in cold 70% ethanol for 30 min on ice. After washes in PBS, cells (10×10^6 /ml)
were stained with 25 μ g/ml propidium iodide (Sigma), 0.05% Triton X-100 and 100
 μ g/ml RNase A (Boehringer Mannheim, Indianapolis, IN) for 45 min at 22 $^{\circ}$ C.
Gated GFP-expressing cells were analyzed for DNA content by flow cytometry on a
10 FACSort (Becton Dickinson, San Jose, CA) using a Cell Quest software. In other
experiments, F5-C4 cells were synchronized at the G1/S boundary by culture with 5-
mM thymidine for 16 h at 37 $^{\circ}$ C, in the presence or in the absence of Tet. At the end
of the incubation, cells were released from the thymidine block, and harvested at 3 h
intervals for DNA content analysis by propidium iodide staining and flow cytometry,
15 or, alternatively, for caspase-9 processing by Western blotting (see below).

TUNEL Staining: Internucleosomal DNA fragmentation of F5.C4 cells in the
presence or in the absence of Tet was carried out by end-labeling with terminal
deoxynucleotidyl transferase (TdT) and peroxidase-conjugated anti-digoxigenin
20 antibody using the ApopTag kit (Intergen, Purchase, NY), as described previously
(Grossman *et al.*, 1999).

Immunoprecipitation and Western Blotting: Asynchronously growing or
synchronized
25 HeLa cells were lysed in 200 μ l of lysis buffer containing 50 mM Tris, pH 7.5, 1%
NP-40, 0.25% DOC, 150 mM NaCl, 1 mM PMSF, 10 μ g/ml aprotinin, 10 μ g/ml
leupeptin, 10 μ g/ml pepstatin, 1 mM Na_2VO_4 , 20 mM NaF, 0.2 mM EGTA, 1 mM

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EDTA, pH 8.0, for 30 min at 4 °C. Insoluble material was removed by centrifugation at 14,000 rpm for 15 min, and two-hundred µg of protein lysate was pre-absorbed with 25 µl of a 50:50 Protein A Sepharose slurry (Pharmacia) for 3 h at 4 °C with constant agitation. Precleared lysates were separately incubated with antibodies to p34^{cdc2}, Cdk2, or HA for 16 h at 4 °C with constant agitation. The immune complexes were precipitated by addition of 50 µl of a 50:50 Protein A slurry for 2 h at 4 °C, washed three times in 350 mM NaCl/lysis buffer, plus three additional washes in 150 mM NaCl/lysis buffer. Samples were separated by electrophoresis on a 12% SDS-polyacrylamide gel, transferred to Immobilon membranes (Millipore Corp.), and separately incubated with antibodies to p34^{cdc} (1 µg/ml), caspase-9, caspase-8, survivin, or HA (0.1 µg/ml) in TBS plus Tween-20 for 16 h at 4 °C. After washes, the transfer membranes were separately incubated with HRP-conjugated anti-mouse (Amersham), anti-rabbit (Amersham), or anti-rat (Boehringer) secondary antibodies (1:2000), with visualization of immunoreactive bands by chemiluminescence (Amersham). For reprobing, membranes were stripped in 100 mM 2-β mercaptoethanol, 2% SDS, 62.5 mM Tris-HCl, pH 6.7, for 30 min at 50 °C. In another series of experiments, HeLa cells at 60% confluency were transfected with HA-survivin or HA-survivin(T34A) and replenished with complete growth medium for 8-h at 37 °C. Cells were synchronized at the G1/S boundary by treatment with 2 mM thymidine for 16 h at 37 °C, released in complete growth medium and harvested at increasing time intervals for immunoprecipitation and DNA content analysis by flow cytometry, as described above.

Survivin Phosphorylation and In Vitro Kinase Assays: Exponentially growing HeLa cells were transiently transfected with HA-survivin and allowed to recover for 44 h in complete DMEM. Cells were supplemented with phosphate-free DMEM (Life Technologies) for 1h at 37 °C. Cells were labeled with 200 µCi/ml ³² P_i (NEN Life

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Science Products Inc.) in the presence of 10% phosphate-free serum for an additional 5 h at 37 °C. Labeled cells were washed twice in cold PBS, pH 7.4, solubilized in lysis buffer, and immunoprecipitated with an antibody to HA (see above), followed by autoradiography. For *in vitro* kinase assays, baculovirus-expressed human p34^{cdc2}-cyclin B1 or Cdk2-cyclin E kinase complexes were separately incubated with 1 µg histone H1, 6 µg of wild type survivin or survivin(T34A) in a 25 µl reaction mixture containing 20 mM HEPES, pH 7.4, 10 mM MgCl₂, 0.5 mM DTT, 10 µM ATP plus 10 µCi of ³²P-ATP (Amersham) for 30 min at 30 °C. The reaction was terminated by addition of 25 µl of 2x Laemmli sample buffer. Samples were electrophoresed on a 5-20% SDS polyacrylamide gel, and phosphorylated bands were visualized by autoradiography. Equal protein loading in each kinase reaction was confirmed by Coomassie blue staining of the gel.

Immunofluorescence and Confocal Microscopy: HeLa cells transfected with the various GFP constructs were harvested after 48 h, and fixed in 4% paraformaldehyde containing 0.25% Triton X-100 for 10 min at 22 °C. Cell nuclei were stained with 6.5 µg/ml 4,6-diamidino-2-phenylindole (DAPI, Sigma), 16% polyvinyl alcohol (Air Products and Chemicals, Allentown, PA), and 40% glycerol. GFP-expressing cells were independently scored for nuclear morphology of apoptosis (chromatin condensation, DNA fragmentation) using a Zeiss fluorescent microscope, as described (Li *et al.*, 1999). For confocal microscopy analysis, HeLa cells on optical grade glass coverslips were fixed and labeled with mAb 8E2 to survivin or a rabbit antibody to p34^{cdc2}, as described (Li *et al.*, 1998). Binding of the primary antibodies was revealed by addition of FITC-labeled goat anti-mouse IgG (survivin) and Texas Red (TR)-conjugated goat anti-rabbit IgG (p34^{cdc2}) (Molecular Probes, Junction City, OR). In other experiments, HeLa cells were transfected with HA-survivin, HA-

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survivin (T34A), or survivin (L64A) and stained with antibodies to HA, mAb 8E2 (L64A), or caspase-9, followed by anti-mouse (FITC) or anti-rabbit (TR)-conjugated antibodies, respectively. For all experiments, non-binding mouse or rabbit IgG were used as controls. Coverslips mounted in Mowiol 4-88 (Hoechst, Frankfurt/Main, Germany) were analyzed on a Zeiss Axiophot microscope or by confocal laser scanning microscopy (CLSM Bio-Rad 1024). Files were assembled and printed with ADOBE Photoshop 5.0.

Example 1. Phosphorylation of Survivin

10 A. Phosphorylation of survivin on Thr³⁴ by p34^{cdc2}-cyclin B1

Inspection of the primary sequence of survivin revealed a potential phosphorylation site for p34^{cdc2} at Thr³⁴ (Figure 1A). By Clustal alignment, the region T³⁴-P-E-R (SEQ ID NO: 11), which matched the p34^{cdc2} consensus phosphorylation sequence S/T-P-X-R (SEQ ID NO: 11; Holmes and Solomon, 1996),
15 was found only in human and mouse survivin, and was absent in IAP proteins from various species (Figure 1A and Deveraux and Reed, 1999). In *in vitro* kinase assays, baculovirus-expressed p34^{cdc2}-cyclin B1 readily phosphorylated recombinant wild type survivin, whereas substitution of Thr³⁴→Ala, *i.e.* survivin(T34A), abolished phosphorylation by p34^{cdc2}-cyclin B1 (Figure 1B). In control experiments,
20 baculovirus-expressed Cdk2-cyclin E did not phosphorylate wild type survivin or survivin(T34A), whereas p34^{cdc2}-cyclin B1 or Cdk2-cyclin E readily phosphorylated histone H1 (Figure 1B). A rabbit antibody was raised against the survivin peptide sequence L²⁸EGCACT*PERMAEAGFI⁴⁴ (SEQ ID NO: 1) containing phosphorylated Thr³⁴ (T*), sequentially affinity purified on non-
25 phosphorylated/phosphorylated peptide-Sepharose columns and used in immunoblotting. The antibody to phosphorylated Thr³⁴ recognized wild type survivin after *in vitro* phosphorylation by p34^{cdc2}-cyclin B1, but not unphosphorylated

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survivin or survivin(T34A) after incubation with p34^{cdc2}-cyclin B1 (Figure 1C). In contrast, an antibody to survivin (Grossman *et al.*, 1999) indistinguishably recognized wild type survivin or survivin(T34A), with or without p34^{cdc2}-cyclin B1-mediated phosphorylation (Figure 1D). In other experiments, HA-tagged survivin
5 was transfected in HeLa cells metabolically labeled with ³²Pi orthophosphate. Immunoprecipitation with an antibody to HA demonstrated prominent phosphorylation of 16.5 kDa survivin, in vivo, whereas a control non-binding antibody did not immunoprecipitate radiolabeled bands from HeLa cells (Figure 1D).

10 **B. Physical Association Between Survivin and p34^{cdc2}**

HeLa cells arrested at G1, S, or G2/M were detergent-solubilized and immunoprecipitated with an antibody to p34^{cdc2}. Western blotting of p34^{cdc2} immunoprecipitates from G2/M-arrested HeLa cells revealed the presence of associated 16.5 kDa survivin, which was enriched in mitotic cells as compared with
15 asynchronously growing cultures (Figure 2A). In contrast, no survivin bands were immunoblotted in p34^{cdc2} immunoprecipitates from G1- or S-arrested cultures (Figure 2A). Consistent with the specificity of p34^{cdc2} phosphorylation of survivin (Figure 1), Cdk2 immunoprecipitates from G1-, S-, or G2/M-synchronized HeLa cells did not contain 16.5 kDa survivin by Western blotting (Figure 2A). In reciprocal
20 experiments, HA-survivin or HA-survivin(T34A) immunoprecipitated from HeLa cells contained a prominent p34^{cdc2} band by Western blotting (Figure 2B), thus demonstrating that survivin-p34^{cdc2} interaction was independent of Thr³⁴. By dual immunofluorescence labeling and confocal microscopy, endogenous p34^{cdc2} and survivin strongly co-localized on mitotic spindle microtubules at prophase and
25 metaphase, and concentrated within midbodies at late telophase (Figure 2C).

Example 2. Survivin Regulation of Apoptosis

A. Spontaneous Apoptosis Induced by Expression of Non-Phosphorylatable Survivin(T34A)

Transfection of HeLa cells with survivin(T34A) fused to a Green Fluorescent Protein (GFP) caused spontaneous chromatin condensation and DNA fragmentation in ~80% of GFP-expressing cells, in the absence of exogenous apoptotic stimuli (Figure 3A, B). Similar results were obtained with transfection of GFP-caspase-9 (Met¹-Asp³³⁰), whereas expression of GFP vector or wild type survivin did not affect nuclear morphology in HeLa cells (Figure 3A, B). Comparable expression of the various constructs in HeLa cells was confirmed by flow cytometry by gating on the GFP- expressing population (not shown). In other experiments, expression of GFP-survivin(T34A) resulted in the appearance of HeLa cells with hypodiploid (sub-G1) DNA content by propidium iodide staining and flow cytometry, in a reaction reversed by the broad-spectrum caspase inhibitor, Z-VAD-fmk (Figure 3C).

Immunofluorescence labeling of HeLa cells expressing survivin(T34A) with an antibody to β -tubulin revealed a profound dysregulation of mitotic transitions, characterized by assembly of multipolar and grossly abnormal mitotic spindles (Figure 3D). In contrast, HeLa cells transfected with wild type survivin formed normal bipolar spindles (Figure 3D).

B. Apoptosis Induced by Survivin(T34A) Coincides with Mitosis

YUSAC-2 melanoma cells expressing endogenous survivin (Grossman *et al.*, 1999) were stably transfected to express survivin(T34A) under the control of a tetracycline (Tet)-regulated promoter (Tet-off system) (Shockett *et al.*, 1995).

Withdrawal of Tet from three independent transfected cell lines (Tet-) resulted in strong induction of ~16.5 kDa survivin(T34A) by Western blotting, but not in Tet-containing cultures (Tet+) (not shown). After induction of survivin(T34A), Tet- cell

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lines exhibited a nearly complete loss of mitotic (G2/M) cells, and appearance of a prominent population with apoptotic (sub-G1) DNA content, by propidium iodide staining and flow cytometry (Figure 4A). In contrast, Tet⁺ lines had normal G2/M DNA content and did not exhibit increase in the apoptotic (sub-G1) fraction (Figure 5 4A). One transfected YUSAC-2 cell line (F5.C4) was re-cloned by limiting dilution and used in subsequent experiments. Consistent with genuine apoptotic morphology (Figure 2), F5.C4 cells strongly labeled for internucleosomal DNA fragmentation by TUNEL in the absence, but not in the presence of Tet (Figure 4B).

F5.C4 cells were synchronized at the G1/S boundary with or without Tet, 10 released, and monitored for cell cycle transitions at 3-h intervals by propidium iodide staining and flow cytometry. In the presence of Tet (Tet⁺), F5.C4 cells approached the first mitosis 9 h after thymidine release, completed cell division by 15-18 h, and re-entered G1 after 21 h, without significant changes in the apoptotic (sub-G1) fraction throughout the cell cycle (Figure 4C). In the absence of Tet, (Tet⁻) F5.C4 15 cells exhibited similar kinetics of cell cycle progression (Figure 4C). However, entry into mitosis of Tet⁻ F5.C4 cells coincided precisely with the appearance of the apoptotic population with sub-G1 DNA content, which increased steadily throughout mitosis and in the post-mitotic phase with considerable reduction of the subsequent G1 peak (Figure 4C).

20

Example 3 Survivin and Caspase-9

A. Phosphorylation-Dependent Modulation of Survivin-Caspase-9 Interaction.

A potential association of survivin with target caspase(s) at mitosis was investigated. Immunoprecipitates of HA-survivin or HA-survivin(T34A) from viable 25 HeLa cells 24 h-after transfection revealed the presence of associated ~46 kDa proform caspase-9, by Western blotting (Figure 5A). In contrast, immunoprecipitates of wild type survivin or survivin(T34A) did not contain another upstream initiator

caspase, caspase-8, by Western blotting (Figure 5B). Co-immunoprecipitation and Western blotting experiments were repeated from adherent or non-adherent, *i.e.* mitotic/apoptotic, HeLa cells 48-h after transfection. As shown in Figure 5C, HA-survivin immunoprecipitates from adherent or non-adherent HeLa cell extracts

5 revealed the presence of associated ~35 kDa active caspase-9, by Western blotting (Figure 5C). Analysis of the supernatants from these immunoprecipitates revealed a predominant 46 kDa proform caspase-9 band in extracts from adherent cells, and ~35 kDa active caspase-9 in mitotic/apoptotic extracts (Figure 5C). In contrast, immunoprecipitates of non-phosphorylatable HA-survivin(T34A) from adherent, *i.e.*

10 viable, HeLa cells contained barely detectable amounts of ~35 kDa active caspase-9, and no ~46 kDa proform caspase-9, by Western blotting (Figure 5C). When similar experiments were repeated using mitotic/apoptotic HeLa cell extracts, immunoprecipitates of HA-survivin(T34A) did not contain associated proform or active caspase-9 bands, by Western blotting (Figure 5C). Consistent with the data

15 presented above, supernatants from these immunoprecipitates contained ~46 kDa proform caspase-9 in adherent cells, and ~35 kDa active caspase-9 in mitotic/apoptotic extracts (Figure 5C). To determine if Thr³⁴ phosphorylation affected the recognition of survivin for active/proform caspase-9 at mitosis, co-immunoprecipitation and Western blotting experiments were carried out from

20 synchronized HeLa cells during cell cycle progression. Immunoprecipitates of wild type survivin or survivin(T34A) from interphase HeLa cells 0 or 6 h after thymidine release contained proform/active caspase-9 bands of ~46 kDa and ~35 kDa, respectively (Figure 5D). However, in synchronized HeLa cells entering mitosis 12 h after thymidine release, HA-survivin immunoprecipitates became exclusively

25 associated with ~35 kDa active caspase-9, whereas both proform and active caspase-9 bands were detected in the supernatant (Figure 5D). In contrast, immunoprecipitates of non-phosphorylatable survivin(T34A) from mitotic HeLa

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cells did not contain associated proform or active caspase-9 bands, which accumulated in the related supernatant (Figure 5D). In control experiments, entry into mitosis of synchronized HeLa cells 12 h after thymidine release was demonstrated by DNA content analysis and flow cytometry (not shown).

5

B. Phosphorylation-Dependent Localization of Survivin-Caspase-9 Complex, *In Vivo*

By immunofluorescence and confocal microscopy, HA-survivin and HA-survivin(T34A) transfected in HeLa cells bound to mitotic spindle microtubules at metaphase (not shown), and accumulated in midbodies at telophase, indistinguishably from endogenous survivin (Figure 6A, B). In HA-survivin transfectants, simultaneous labeling for caspase-9 revealed a diffuse cytoplasmic reactivity and a prominent co-localization of caspase-9 with survivin in midbodies at different stages of telophase (Figure 6A), in agreement with the co-immunoprecipitation data presented above. HeLa cells transfected with HA-survivin(T34A) also exhibited a diffuse labeling for caspase-9 throughout the cytoplasm (Figure 6B). However, in the presence of non-phosphorylatable HA-survivin(T34A), caspase-9 selectively lost its localization to midbodies and did not co-associate with survivin(T34A) at telophase (Figure 6B), consistent with the dissociation of a survivin(T34A)-active caspase-9 complex (see above). In control experiments, another survivin point mutant in the Baculovirus IAP repeat (BIR), Leu⁶⁴→Ala, did not cause apoptosis (not shown), and physically co-localized with endogenous caspase-9 in midbodies at telophase (Figure 6C).

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C. Caspase-9-Dependent Apoptotic Checkpoint at Mitosis

To determine if active caspase-9 was responsible for apoptosis induced by non-phosphorylatable survivin(T34A). By Western blotting, apoptosis of cell cycle

synchronized Tet- F5.C4 cells was associated with time-dependent cleavage of ~46 kDa proform caspase-9 and generation of ~35 kDa and ~37 kDa active caspase-9 bands (Figure 7A). Consistent with the kinetics of apoptosis of Tet- F5.C4 cells, the generation of active caspase-9 bands began 9-h after thymidine release, increased at mitosis, and peaked in post-mitotic cells 24 h after release, with nearly complete disappearance of the ~46 kDa proform caspase-9 band (Figure 7A).

Indistinguishable results of caspase-9 cleavage were observed in HeLa cells treated with TNF α /cycloheximide (Figure 7A). In contrast, Tet+ F5.C4 cells did not exhibit proteolytic cleavage of ~46 kDa caspase-9 at mitosis (Figure 7A). In other experiments, transfection of HeLa cells with a caspase-9(C287A) dominant negative mutant (Duan *et al.*, 1996) inhibited nuclear fragmentation and chromatin condensation induced by etoposide (Figure 7B), in agreement with previous observations (Pan *et al.*, 1998). Expression of caspase-9(C287A) also reversed HeLa cell apoptosis induced by survivin(T34A) to background levels observed in vector control transfectants (Figure 7B), and restored the co-localization of caspase-9 and endogenous survivin at midbodies (not shown). In control experiments, co-transfection of HeLa cells with a caspase-8(C360S) dominant negative mutant (Boldin *et al.*, 1996) did not reduce apoptosis induced by survivin(T34A), whereas it completely inhibited cell death induced by TNF α /cycloheximide (Figure 7B), in agreement with previous observations (Boldin *et al.*, 1996).

The results of Examples 1-3 show that survivin, a IAP apoptosis inhibitor (Deveraux and Reed, 1999) over-expressed in cancer (Ambrosini *et al.*, 1997; Velculescu *et al.*, 1999), physically associates with the cyclin-dependent kinase complex, p34^{cdc2}-cyclin B1 (Nurse, 1994), and is phosphorylated by p34^{cdc2} on Thr³⁴.

Based on the phenotype of cells expressing a non-phosphorylatable survivin(T34A) mutant, phosphorylation on Thr³⁴ switches the affinity of survivin for active *versus* proform caspase-9, and stabilizes this anti-apoptotic complex at mitosis (Figure 13).

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Conversely, lack of survivin phosphorylation by p34^{cdc2} causes dissociation of the survivin-active caspase-9 complex, selective mislocalization of caspase-9 from midbodies, and caspase-9-dependent apoptosis of cells traversing mitosis (Figure 13).

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Example 4. Method of Identifying an Agent that Modulates Phosphorylation of Survivin

The interactions described above between survivin and p34^{cdc2}-cyclin B1 kinase complex allow for the development of assays to identify an agent which
10 modulates the phosphorylation of survivin. Such assays use, as common steps, a step of contacting survivin and p34^{cdc2}-cyclin B1 kinase complex in the presence of the agent and a step of determining whether the agent modulates the phosphorylation of survivin by the kinase complex. Any means to quantitate phosphorylation of survivin may be used.

15 In one format, the ability of an agent to modulate the phosphorylation of survivin is assayed. Baculovirus-expressed p34^{cdc2}-cyclin B1 kinase complexes are incubated with 1 µg histone H1, 6 µg of wild type survivin in the presence and absence of an agent in a 25 µl reaction mixture containing 20 mM HEPES, pH 7.4, 10 mM MgCl₂, 0.5 mM DTT, 10 µM ATP plus 10 µCi of ³²P-ATP (Amersham) for
20 30 min at 30 °C. The two reactions are terminated by addition of 25 µl of 2x Laemmli sample buffer. To quantitate the amount of phosphorylated survivin, the above samples are electrophoresed on a 5-20% SDS polyacrylamide gel, and phosphorylated bands are visualized by autoradiography. Equal protein loading in each kinase reaction is confirmed by Coomassie blue staining of the gel. The ability
25 of the agent to modulate phosphorylation of survivin by p34^{cdc2}-cyclin B1 kinase complex is then determined by comparing the amount or quantity of phosphorylated survivin in the samples exposed to the agent and the amount or quantity of

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phosphorylated survivin in the control (non-agent exposed) sample. The amount of phosphorylated survivin is quantitated by cutting the survivin gel bands out and counted in a scintillation counter. The amount of phosphorylated survivin could also be determined by quantitative densitometry.

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Example 5. Methods of Identifying an Agent that Modulates Survivin/p34^{cdc2}-Cyclin B1 Kinase complex Association or Phosphorylated Survivin/Caspase-9 Complex Association

The interactions described above between survivin and p34^{cdc2}-cyclin B1 kinase complex and between phosphorylated survivin and caspase-9 allow for the development of assays to identify an agent which modulates survivin and p34^{cdc2}-cyclin B1 kinase complex association and survivin and caspase-9 association. Such assays may use, as common steps, a step of contacting survivin and p34^{cdc2}-cyclin B1 kinase complex in the presence of the agent and a step of determining whether the agent modulates the binding of survivin with the kinase complex or the binding of survivin with caspase-9.

In one format, the ability of an agent to modulate survivin/p34^{cdc2}-cyclin B1 kinase complex association is assayed. Asynchronously growing HeLa cells are transfected with the nucleic acid encoding survivin and detergent-solubilized after 24h. One sample of detergent solubilized cells is incubated with a test agent. The second sample is not incubated with any test agent. The samples are immunoprecipitated with an antibody to p34^{cdc2}, separated by electrophoresis, transferred to nylon membranes and immunoblotted with p34^{cdc2} antibodies. The ability of the agent to modulate survivin/p34^{cdc2}-cyclin B1 kinase complex interaction is then determined by comparing the positions of p34^{cdc2}-cyclin B1 kinase complex on the gel in the presence and absence of the agent.

Alternatively, agents that modulate survivin/p34^{cdc2}-cyclin B1 kinase may be

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identified by using an *in vitro* kinase assay, similar to the one described under Example 4. Determining the amount of phosphorylated survivin, by for example, use of the phosphorylated survivin specific antibody described above, and comparing the amount of phosphorylated survivin in the presence and absence of the test agent

5 enables the skilled artisan to determine whether the agent inhibits the phosphorylation of survivin and/or an interaction between survivin and p34^{cdc2}-cyclin B1 kinase.

In another format, the ability of an agent to modulate phosphorylated survivin/caspase-9 association is assayed. Baculovirus-expressed p34^{cdc2}-cyclin B1

10 kinase complexes are incubated with 1 µg histone H1, 6 µg of wild type survivin in a 25 µl reaction mixture containing 20 mM HEPES, pH 7.4, 10 mM MgCl₂, 0.5 mM DTT, 10 µM ATP plus 10 µCi of ³²P-ATP (Amersham) for 30 min at 30 °C. The reaction is terminated. Caspase-9 and a test agent is added to the sample. In the control sample containing baculovirus-expressed p34^{cdc2}-cyclin B1 and survivin, only

15 caspase-9 is added. The association between phosphorylated survivin and caspase-9 is then detected. For instance, the samples are immunoprecipitated with an antibody to caspase-9, separated by electrophoresis, transferred to nylon membranes and immunoblotted with caspase-9 antibodies. The ability of the agent to modulate survivin/caspase-9 interaction is then determined by comparing the positions of

20 caspase-9 on the gel in the presence and absence of the agent.

Example 6. The Effect of Survivin Antagonists on Human Melanoma Cells

YUSAC-2 human melanoma cells constitutively expressing endogenous

25 survivin (Grossman *et al.*, 1999b) were stably-transfected with survivin antagonists under the control of a tetracycline (tet)-regulated ("tet-off") promoter system (Shockett *et al.*, 1995).

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The Thr³⁴→Ala mutation was introduced by site-directed mutagenesis into the 1.6 kb human survivin cDNA (Ambrosini *et al.*, 1997) using the oligonucleotide 5'-GGCTGCGCCTGCgCCCCGGAGCGGATG-3' (SEQ ID NO: 2) and the GeneEditor system (Promega, Madison, WI) according to the manufacturer's instructions. This mutant was evaluated along with other survivin BIR domain mutants for dominant-negative function by the ability to induce spontaneous apoptosis upon transient transfection into Hela cells, as described (Li *et al.*, 1998), and found to be particularly effective. The survivin cDNA containing the Thr³⁴→Ala mutation was cloned into the HindIII-SpeI sites of pTet-splice (Stratagene, La Jolla, CA). To generate the antisense construct, the wild-type survivin cDNA was cloned into pTet-splice in the reverse orientation.

These two survivin antagonists were cloned into pTet-splice downstream of the regulatory sequences of the tet-resistance operon (*TetO*). The plasmid pTA-Neo, contains the tet-controlled transactivator (tTA) sequence downstream of *TetO*, and a neomycin resistance gene. In this tandem plasmid system, tet prevents tTA binding to *TetO* and transcription of the transgene; in the absence of tet, tTA upregulates its own transcription and the transgene is expressed.

YUSAC-2 cells (Grossman *et al.*, 1999) were transfected in 6-well plates by the simultaneous addition of 0.8 µg antagonist vector, 0.8 µg pTA-Neo, 0.5 µg tet (Sigma, St. Louis, MO), and 5 µl Lipofectamine (Life Technologies, Gaithersburg, MD) per well. After 9 hours, the transfection medium was aspirated and replaced with normal medium containing 0.5 µg/ml tet. Forty-eight hours from the start of transfection, cells were trypsinized, washed, and replated at low density in 15 x 150 mm plates in medium containing 1.5 mg/ml G418 (Life Technologies), 2 mM sodium hydroxide and 0.5 µg/ml tet. This selection medium was changed every six days, and after three weeks 96 colonies were transferred to U-bottom microtiter wells for expansion and screening on the basis of differential growth in the presence and

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absence of tet. Of the BIR mutant-transfected clones, three did not grow in the absence of tet and two of them (designated F5.C4 and F5.E5) were recloned by limiting dilution. Of the antisense-transfected clones, only one (designated B8) exhibited tet-dependent growth. Cells were maintained in selection medium containing G418 and tet.

Upon withdrawal of tet from the culture medium, YUSAC-2 subclone F5.C4, transfected with the Thr³⁴-Ala mutant, strongly expressed a 16.5 kDa induced survivin band by Western blotting (Fig. 8A). By contrast, no modulation of survivin expression was observed when tet was present in the culture medium (Fig. 8A).

Another subclone transfected with the Thr³⁴-Ala mutant, F5.E5, similarly demonstrated tet-regulated transgene expression (not shown). In subclone B8, transfected with survivin antisense, removal of tet from the medium was associated with rapid expression of survivin antisense RNA as assessed by RT-PCR (not shown). Tet-regulated expression of the Thr³⁴-Ala mutant or survivin antisense resulted in a progressive time-dependent loss of mitotic (G2/M) cells and coincident accumulation of apoptotic cells with hypodiploid (sub-G1) DNA content, as assessed by propidium iodide staining and flow cytometry (Fig. 8B, and not shown). Tet-deprived F5.C4 cells exhibited apoptotic nuclear morphology and stained intensely for internucleosomal DNA fragmentation by TUNEL (Fig. 8C). By contrast, F5.C4 cells cultured in the presence of tet demonstrated normal mitotic progression (Fig. 8B) and lack of TUNEL reactivity (Fig. 8C).

Example 7. The Effect of Survivin Antagonists on CB.17 Immunodeficient Mice

To determine whether interference with survivin function by regulated expression of survivin antagonists could block melanoma tumor formation in CB.17 immunodeficient mice, mice were monitored for 8 weeks following subcutaneous

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injection, and tumor measurements taken at 4- and 8-week time points (Table I). It was determined that YUSAC-2 cells consistently form localized tumors in 6 to 8-week-old female CB.17 SCID/beige mice (Taconic Farms, Germantown, NY) approximately 3-4 weeks following subcutaneous injection of $1-3 \times 10^6$ cells.

- 5 Animals were monitored for up to 4 months, and neither mortality nor gross metastasis is associated with increasing tumor size (up to 5000 mm^3) or ulceration. One day prior to injection, mice were shaved on the right flank, and the regular drinking water was replaced with 5% sucrose alone or containing 100 mg/ml tet as described (Shockett *et al.*, 1995). Cells were harvested in log-phase growth, washed
10 twice in PBS, resuspended in PBS (12×10^6 cells per ml) and injected (0.25 ml, 3×10^6 cells) subcutaneously. The drinking water was changed every 2-3 days. Tumor size was determined by the product of two perpendicular diameters and the height above the skin surface.

- Untransfected YUSAC-2 cells readily formed localized nodular amelanotic
15 tumors, and tumor formation was not affected by the addition or absence of tet from the drinking water (Fig. 8D). Histologic analysis revealed sheets of large epithelioid malignant cells that stained positively for HMB-45, a marker of human melanoma cells (not shown; Kikuchi *et al.*, 1996). The HMB-45 antibody (Zymed Laboratories, San Francisco, CA) was used according to the manufacturer's instructions. By
20 contrast, F5.C4 cells transfected with survivin Thr³⁴-Ala did not form tumors in 13 of 15 (87%) animals when tet was withheld from the drinking water (Fig. 8D, Table I). These animals remained tumor-free for an additional 3-month observation period. Tumors that formed in two tet-deprived animals were considerably smaller in size and appeared with a markedly delayed onset compared to those in animals given tet
25 (Table I). Similar results were obtained in animals injected with subclones F5.E5 and B8, transfected with survivin Thr³⁴-Ala and survivin antisense, respectively (Table I).

Table I. Summary of Tumor Formation for All Animals.

CB.17 mice were injected with cells and tet was added or withheld from the drinking water. In this "tet- off" promoter system, the transgene is expressed only in the absence of tet. Tumor incidence is reported for animals observed at 4 and 8 weeks as indicated. Mean tumor size (mm³) \pm standard deviation is noted only for mice that had formed tumors at the indicated observation points. The slightly smaller size of tumors formed by survivin antagonist subclones compared to untransfected YUSAC-2 cells may be due to some leakiness of the promoter system or an unexplained consequence of transgene insertion.

Cell line	Transgene	Tet	4 Weeks		8 Weeks	
			Tumors formed	Tumor size	Tumors formed	Tumor size
YUSAC- 2	None	present	5/5 (100%)	295 \pm 129	5/5 (100%)	2580 \pm 1426
YUSAC- 2	None	absent	5/5 (100%)	358 \pm 283	5/5 (100%)	2086 \pm 1228
F5.C4	Survivin Thr ³⁴ →Ala	present	3/4 (75%)	181 \pm 18	4/4 (100%)	1533 \pm 1019
F5.C4	Survivin Thr ³⁴ →Ala	absent	0/15 (0%)	---	2/15 (13%)	278 \pm 116
F5.E5	Survivin Thr ³⁴ →Ala	present	3/4 (75%)	132 \pm 115	3/4 (75%)	924 \pm 710
F5.E5	Survivin Thr ³⁴ →Ala	absent	0/5 (100%)	---	0/5 (100%)	---
B8	Survivin antisense	present	5/5 (100%)	153 \pm 98	5/5 (100%)	1249 \pm 559
B8	Survivin antisense	absent	0/5 (100%)	---	2/5 (40%)	164 \pm 23

Example 8. The Effect of Survivin Antagonists on Established Tumors

Next, the effect of survivin Thr³⁴-Ala on established tumors was assessed. Fifteen mice were injected with F5.C4 cells and tet was provided to permit tumor formation. Once tumors became apparent (20-50 mm³), tet was withheld from the
5 drinking water, and tumors were monitored for 5 weeks. None of the tumors grew at a rate comparable to F5.C4 tumors in animals maintained on tet (Fig. 10A). Rather, a spectrum of growth curves with some tumors failing to grow at all after tet was withdrawn was observed (Fig. 10A). Histologic analysis revealed that induction of
10 survivin Thr³⁴-Ala was associated with massive areas of necrosis and prominent cellular apoptosis by TUNEL, suggesting that changes in tumor mass in these animals did not reflect actual tumor growth. Withdrawal of tet from F5.C4 tumors was also associated with lack of normal mitotic figures and the presence of aberrant mitoses, consistent with the dysregulation of mitotic progression associated with
15 survivin targeting *in vitro* (Li *et al.*, 1998). In the two small F5.C4 tumors that formed in the absence of tet (Table I), a similar pattern of apoptotic cell death was seen (not shown).

Next, it was determined whether these effects on tumor growth and viability observed *in vivo* could be attributed to spontaneous apoptosis induced by tet-regulated expression of survivin Thr³⁴-Ala. Cell lines were re-established from
20 several of these tumors and assessed for tet-regulated induction of apoptosis *in vitro*. Tumors were surgically excised, and skin and subcutaneous tissues were dissected away. Tumors were then cut into small pieces with a razor blade and dissociated into a single-cell suspension by vigorous vortexing in PBS. Cells were washed twice in PBS, resuspended in selection medium containing G418 and tet, and cultured for 2-3
25 passages. These cells retained tet-responsiveness as removal of tet from the culture medium was associated with generation of hypodiploid (apoptotic) cells by DNA content analysis (Fig. 10B). The persistence of some viable cells in tet-deprived

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tumors may reflect an inability to remove tet completely from the animal and achieve maximal transgene expression *in vivo*. In addition, inhibition of survivin may not eliminate non-dividing cells given its selective action during the G2/M phase of the cell cycle (Li *et al.*, 1999).

5

Although the present invention has been described in detail with reference to examples above, it is understood that various modifications can be made without departing from the spirit of the invention. Accordingly, the invention is limited only by the following claims.

10

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Claims:

1. A method of identifying an agent which modulates phosphorylation of
5 survivin comprising:
 - (a) incubating survivin and p34^{cdc2}-cyclin B1 kinase complex in the presence of an agent; and
 - (b) determining whether the agent modulates the phosphorylation of survivin by p34^{cdc2}-cyclin B1 kinase complex, thereby identifying an agent which
10 modulates the phosphorylation of survivin.
2. The method of claim 1, wherein step (a) is performed in the presence of ATP.
- 15 3. The method of claim 2, wherein the ATP is radiolabeled.
4. The method of claim 1, wherein step (b) comprises quantitating the amount of phosphorylated survivin in the presence of the agent and in the absence of the agent.
20
5. The method of claim 1, wherein step (b) comprises using an antibody to detect the phosphorylation of survivin.
6. The method of claim 5, wherein the antibody recognizes
25 phosphorylated Thr.
7. The method of claim 5, wherein the antibody is generated using the survivin peptide comprising the sequence as set forth in SEQ ID NO: 1 and

-68-

containing phosphorylated Thr³⁴(T*).

8. The method of claim 1, wherein survivin and p34^{cdc2}-cyclin B1 kinase complex are exposed to the agent in a cell-free environment.

5

9. The method of claim 1 wherein step (a) comprises incubating cells expressing survivin with p34^{cdc2}-cyclin B1 kinase complex in the presence of an agent.

10

10. The method of any one of claims 1-9, wherein the agent is a survivin polypeptide or small molecule mimetic thereof.

11. The method of claim 10, wherein the agent is a survivin polypeptide comprising a threonine residue corresponding to position 34 of the survivin amino acid sequence.

15

12. The method of claim 1, wherein phosphorylation of survivin comprises the binding of survivin with p34^{cdc2}-cyclin B1 kinase complex.

20

13. A method of modulating the interaction between survivin and p34^{cdc2}-cyclin B1 kinase complex comprising:

administering an effective amount of an agent which modulates the interaction between survivin and p34^{cdc2}-cyclin B1 kinase complex.

25

14. A method of modulating survivin dependent apoptosis in a cell, comprising:

administering to the cell an effective amount of an agent which

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modulates the interaction between survivin and p34^{cdc2}-cyclin B1 kinase complex.

15. A method of modulating apoptosis in a cell, comprising the step of administering to the cell an effective amount of an agent which modulates the
5 phosphorylation of survivin by p34^{cdc2}-cyclin B1 kinase complex.

16. A method of reducing the severity of a pathological state mediated by survivin comprising inhibiting the phosphorylation of survivin by p34^{cdc2}-cyclin B1 kinase complex or the binding of survivin to p34^{cdc2}-cyclin B1 kinase complex.
10

17. The method of claim 16, wherein the modulating comprises administering to the cell an effective amount of an agent which inhibits the interaction between survivin and the p34^{cdc2}-cyclin B1 kinase complex.

18. A method of identifying an agent which modulates the interaction between phosphorylated survivin and caspase-9 comprising the steps of:
15 (a) incubating survivin and caspase-9 in the presence of an agent;
and
(b) determining whether the agent modulates the interaction of
20 survivin and caspase-9, thereby identifying an agent which modulates the interaction between phosphorylated survivin and caspase-9.

19. The method of claim 18, wherein the survivin is obtained by phosphorylating survivin using p34^{cdc2}-cyclin B1 kinase complex.
25

20. A method of modulating the interaction between phosphorylated survivin and caspase-9 comprising:

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administering an effective amount of an agent which modulates the interaction between survivin and caspase-9.

21. A method of modulating survivin dependent apoptosis in a cell,
5 comprising:

administering to the cell an effective amount of an agent which modulates the interaction between survivin and caspase-9.

22. The method of claim 21, wherein the modulating comprises
10 administering to the cell an effective amount of an agent which inhibits the interaction between survivin and caspase-9.

23. A method of reducing the severity of a pathological state mediated by survivin comprising inhibiting the binding of survivin to caspase-9
15

24. A method of inhibiting the growth of tumor comprising administering an effective amount of a survivin antagonist to the tumor.

25. The method of claim 24, wherein the survivin antagonist is an
20 antisense survivin nucleic acid or a nucleic acid encoding survivin Thr³⁴→Ala.

26. The method of claim 25, wherein the tumor is present in a mammal.

27. An antibody that binds to survivin phosphorylated at position 34.
25

28. The antibody of claim 27, wherein the antibody is generated using the peptide having the amino acid as set forth in SEQ ID NO: 1 and containing

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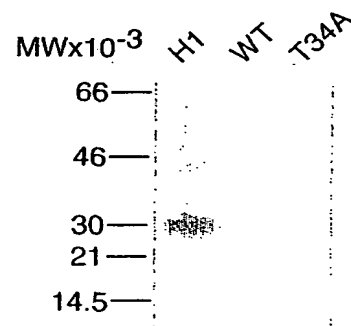
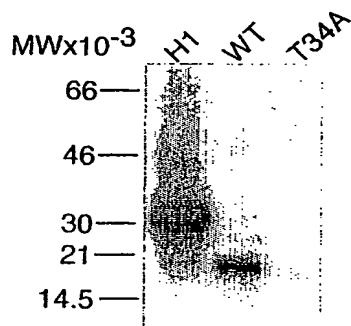
phosphorylated Thr³⁴(T*).

29. The antibody of claim 28, wherein the antibody is isolated.

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FIG. 1A

p34^{cdc2} consensus site:		S/TPXK/R
Survivin (Human)	31	CACTPERMA
Survivin (Mouse)	31	CACTPERMA
BRUCE (Human)	277	RWACQDFMA
NAIP (Human)	76	.WI.PQEMA
XIAP (Human)	42	.PVSASTLA
BIR1 (C.elegans)	35	AKCSQAVAA
BIRP (S.Pombe)	37	AKPTPETLA
BIR-1 (S.Cerv.)	40	KVIPYQAMA
OpiAP (Baculovirus)	32	FQLEPSRMA
DIAP (Drosophila)	58	WDLDKROLA

FIG. 1B**Kinase: p34^{cdc2}-cyclin B1****Kinase: Cdk2-cyclin E**

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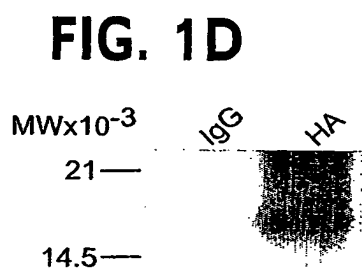
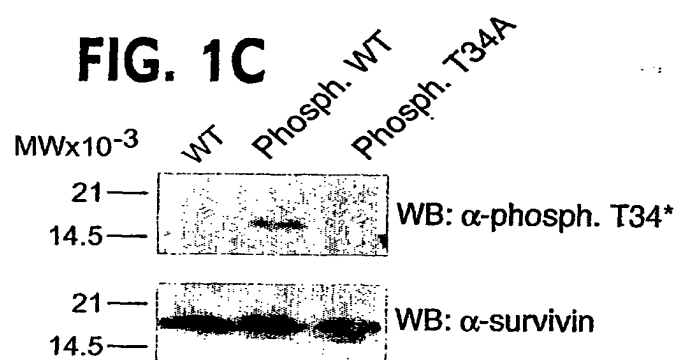
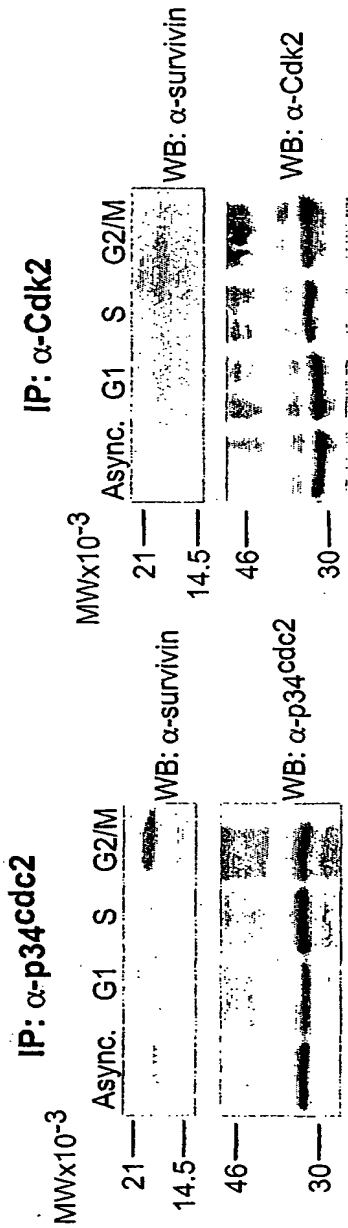


FIG. 2A



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FIG. 2B

IP: α -HA

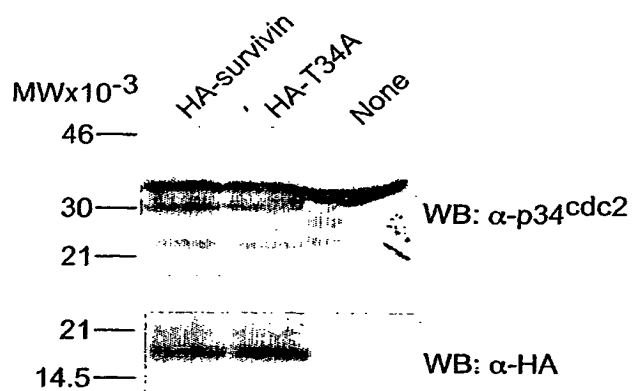
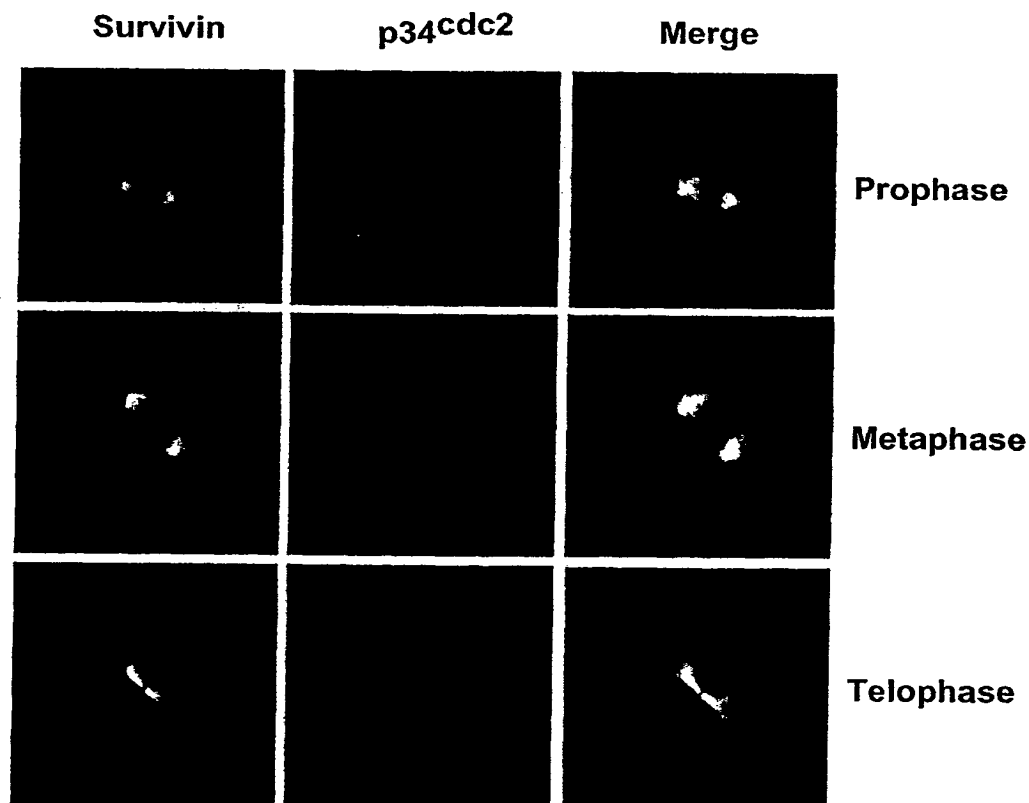
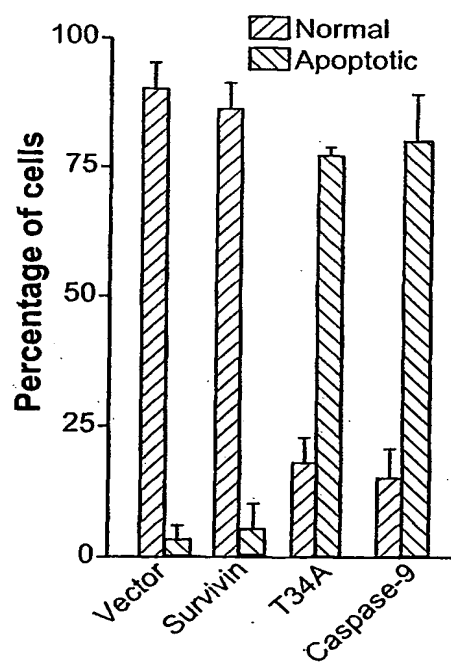


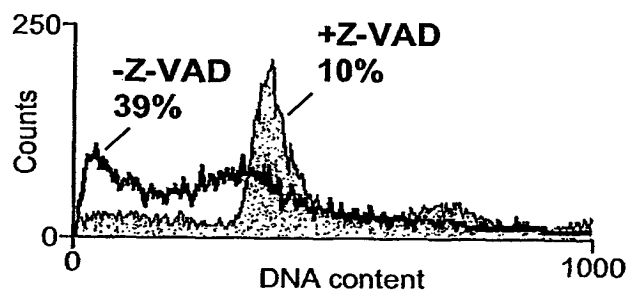
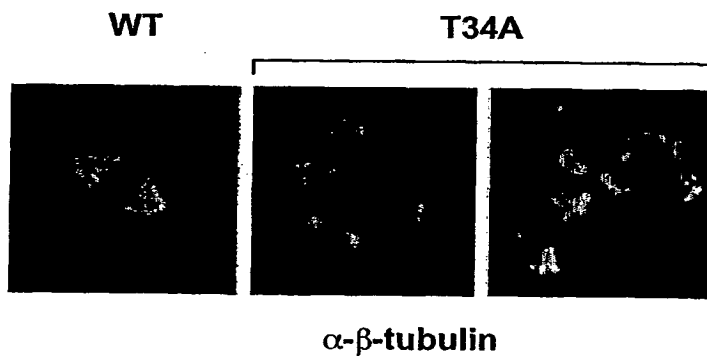
FIG. 2C



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FIG. 3A**FIG. 3B**

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FIG. 3C**FIG. 3D**

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FIG. 4A

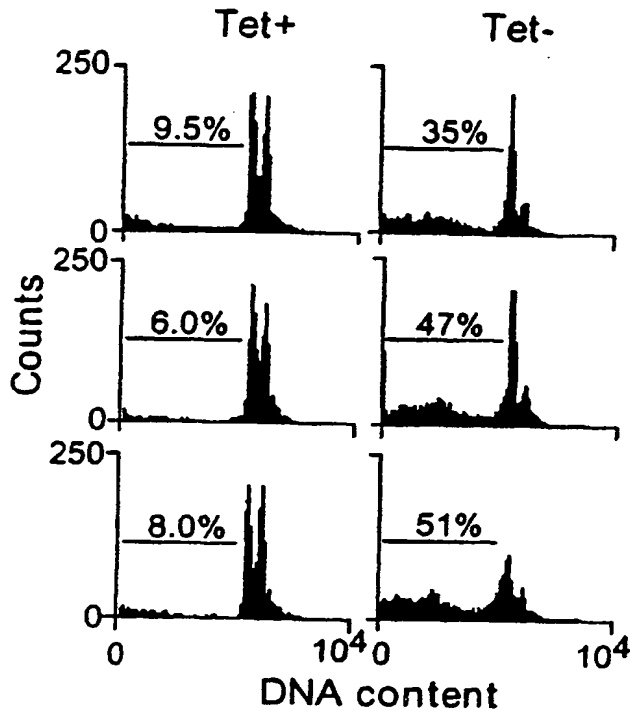


FIG. 4B

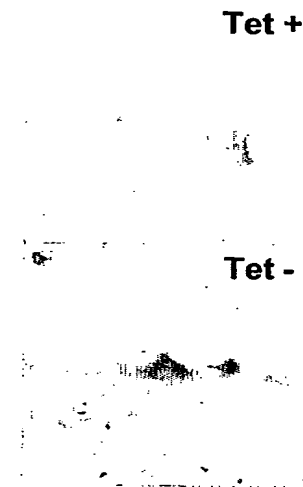
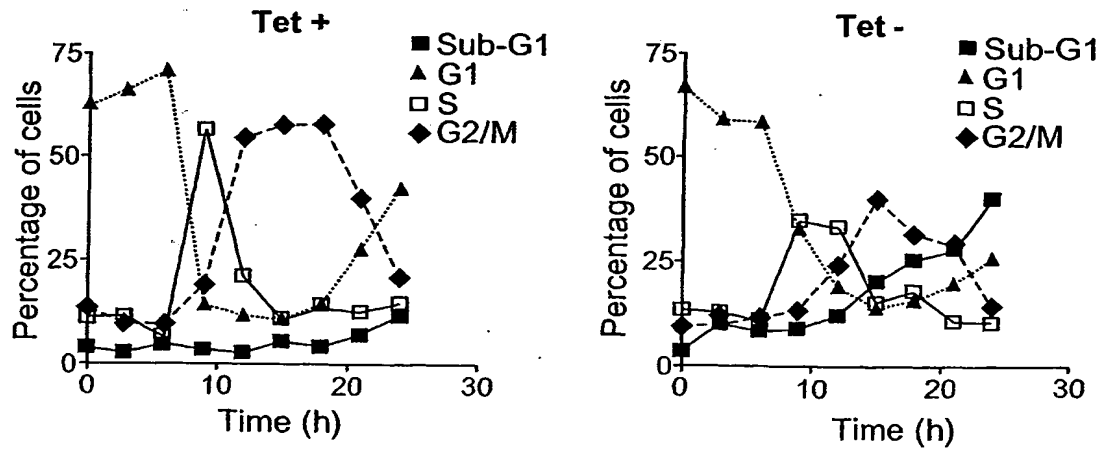


FIG. 4C



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FIG. 5B

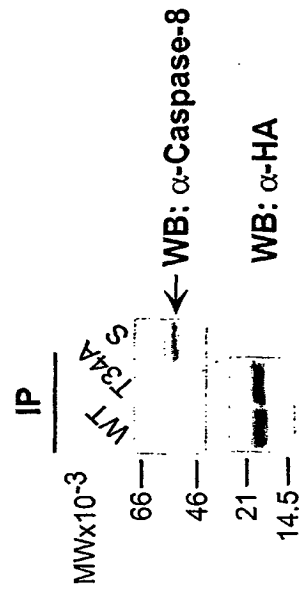
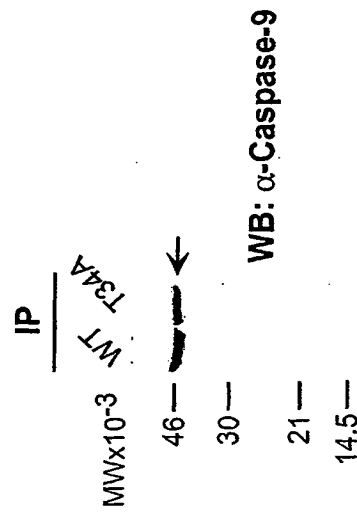


FIG. 5A



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FIG. 5C

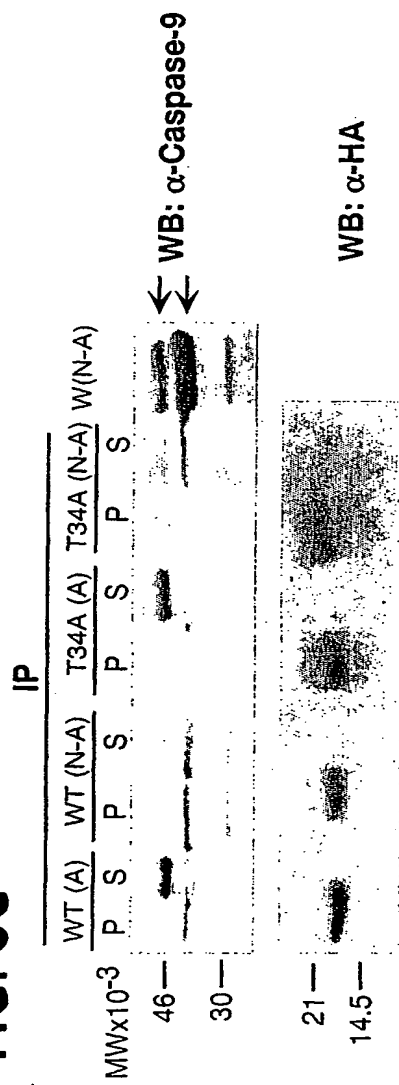
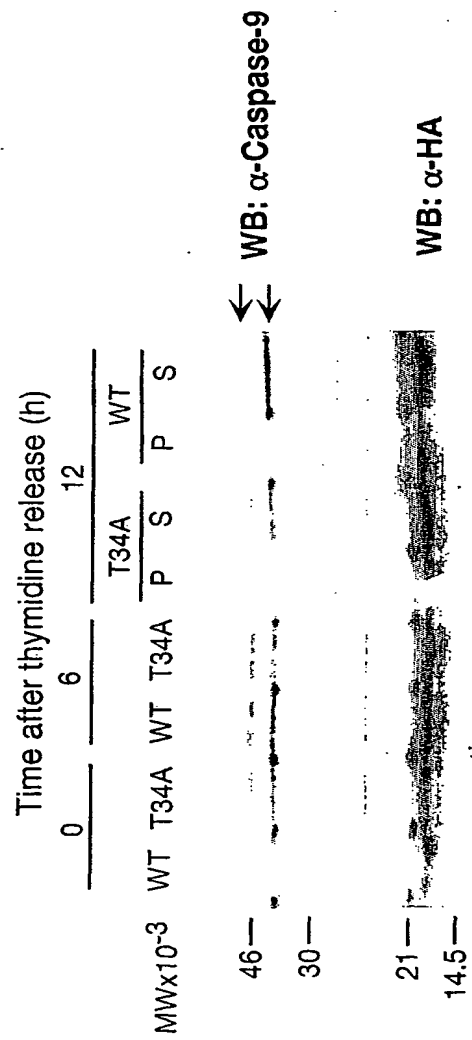
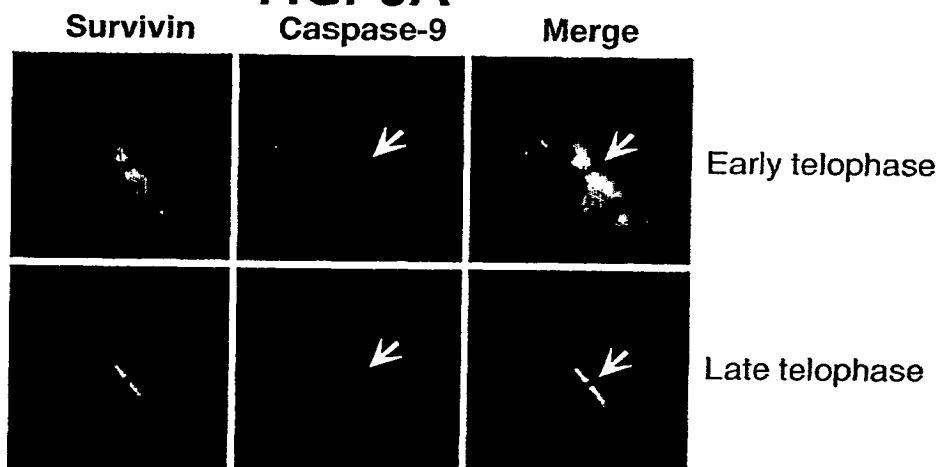
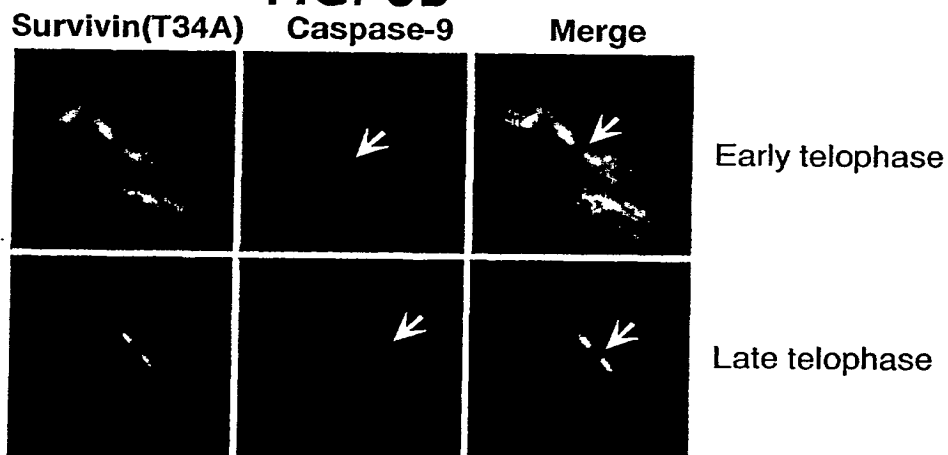
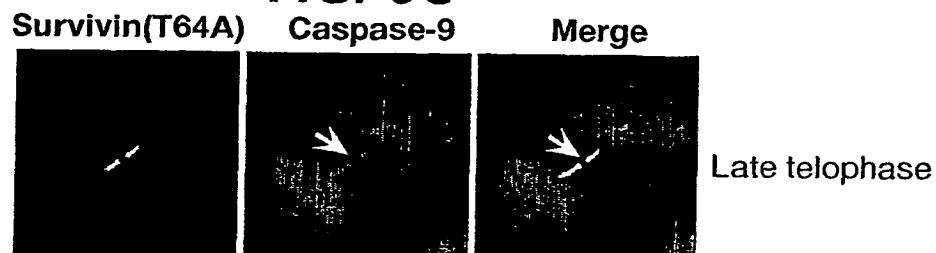


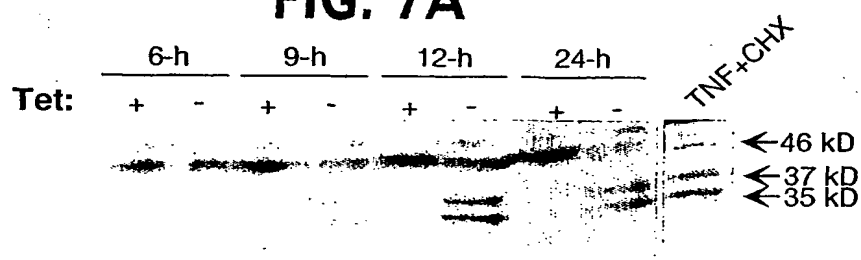
FIG. 5D



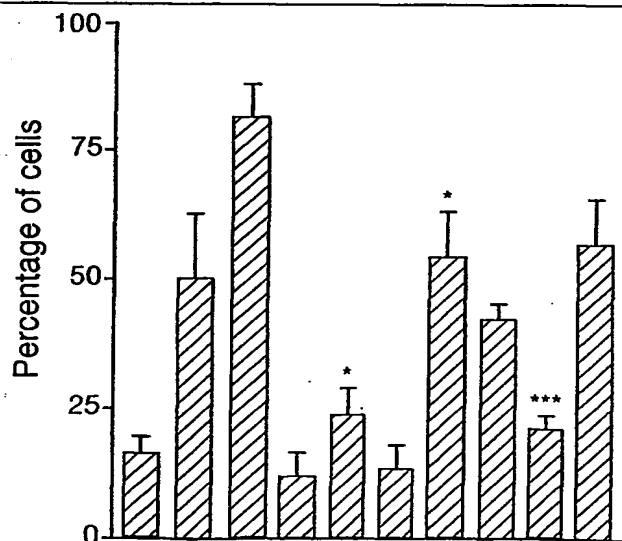
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FIG. 6A**FIG. 6B****FIG. 6C**

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FIG. 7A**FIG. 7B**

Vector	+	+	+	+	+	+	+	+	+	+
Etoposide	-	+	-	-	+	-	-	-	-	-
TNF-CHX	-	-	+	-	-	-	+	-	-	-
Casp.8 DN	-	-	-	-	-	+	+	-	-	+
Casp.9 DN	-	-	-	+	+	-	-	-	+	-
T34A	-	-	-	-	-	-	-	+	+	+



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FIG. 8A

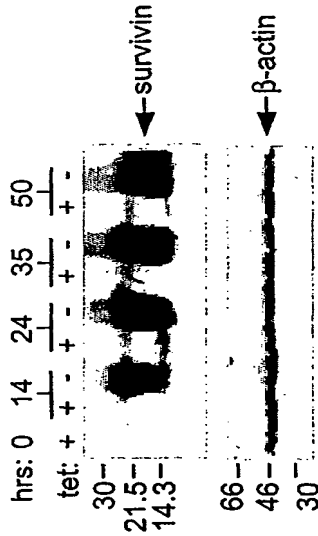


FIG. 8B

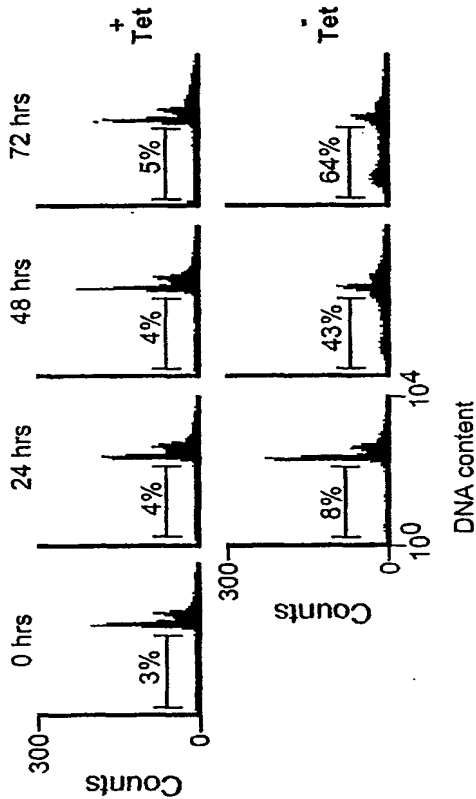
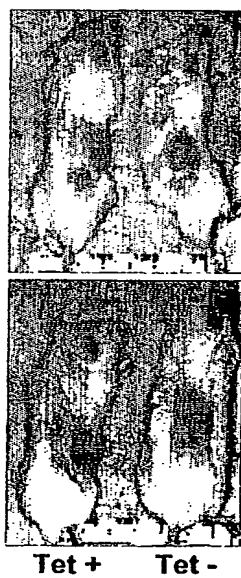


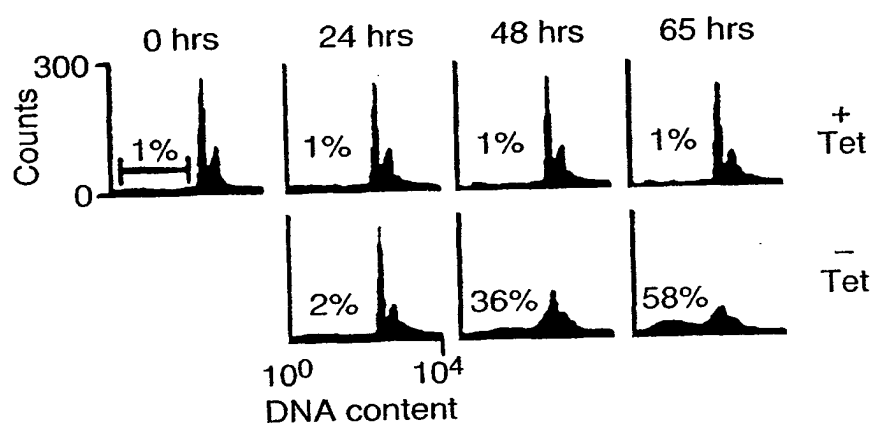
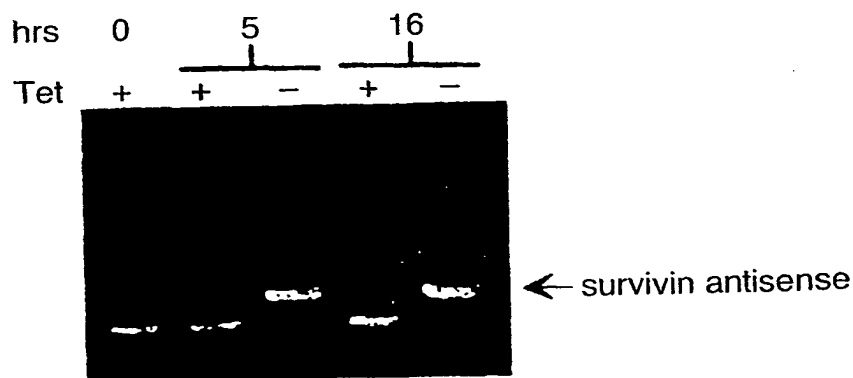
FIG. 8C



FIG. 8D



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FIG. 9A**FIG. 9B**

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FIG. 10B

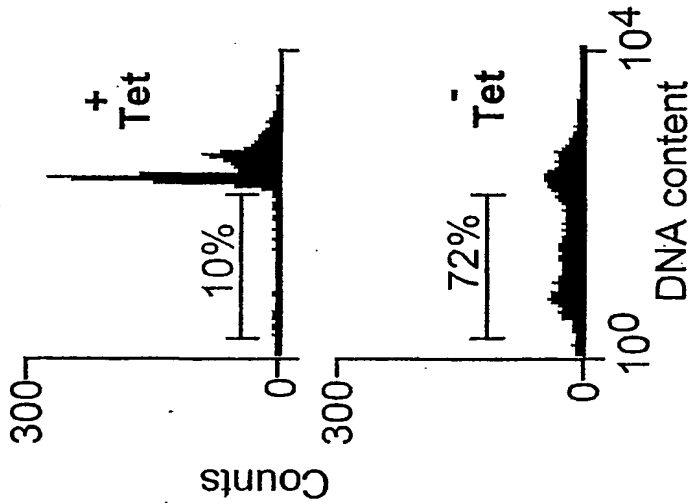
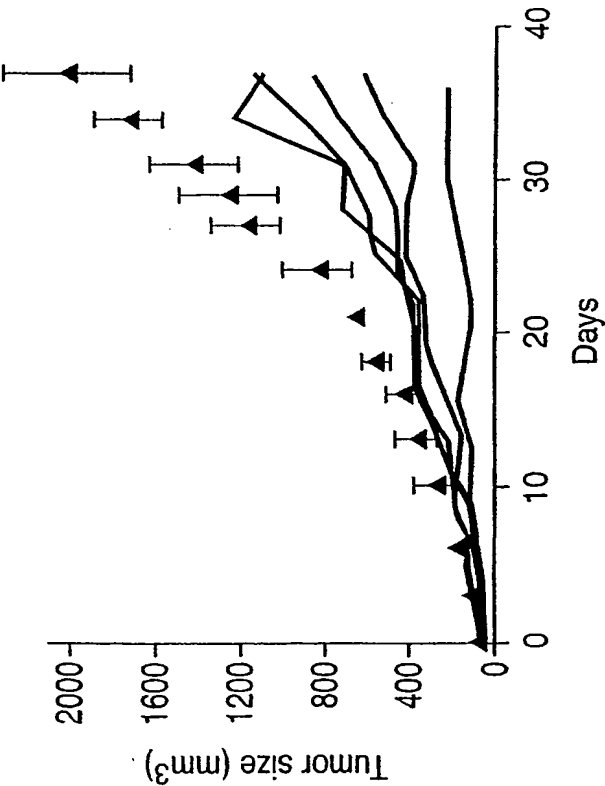
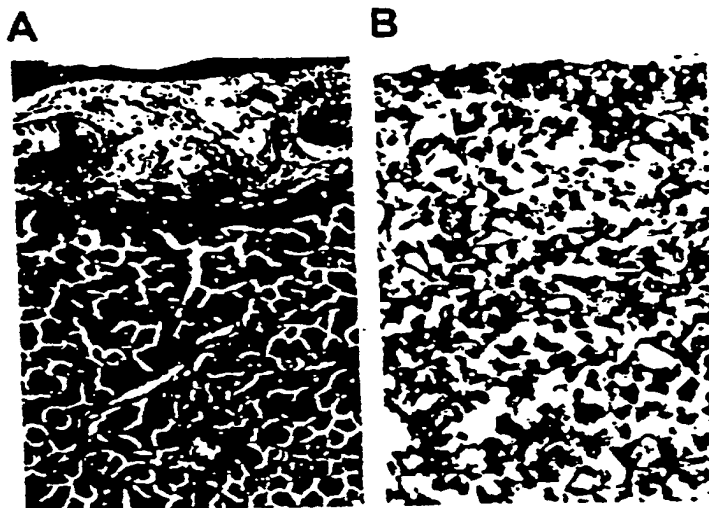


FIG. 10A





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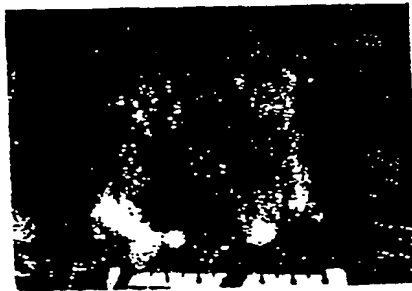
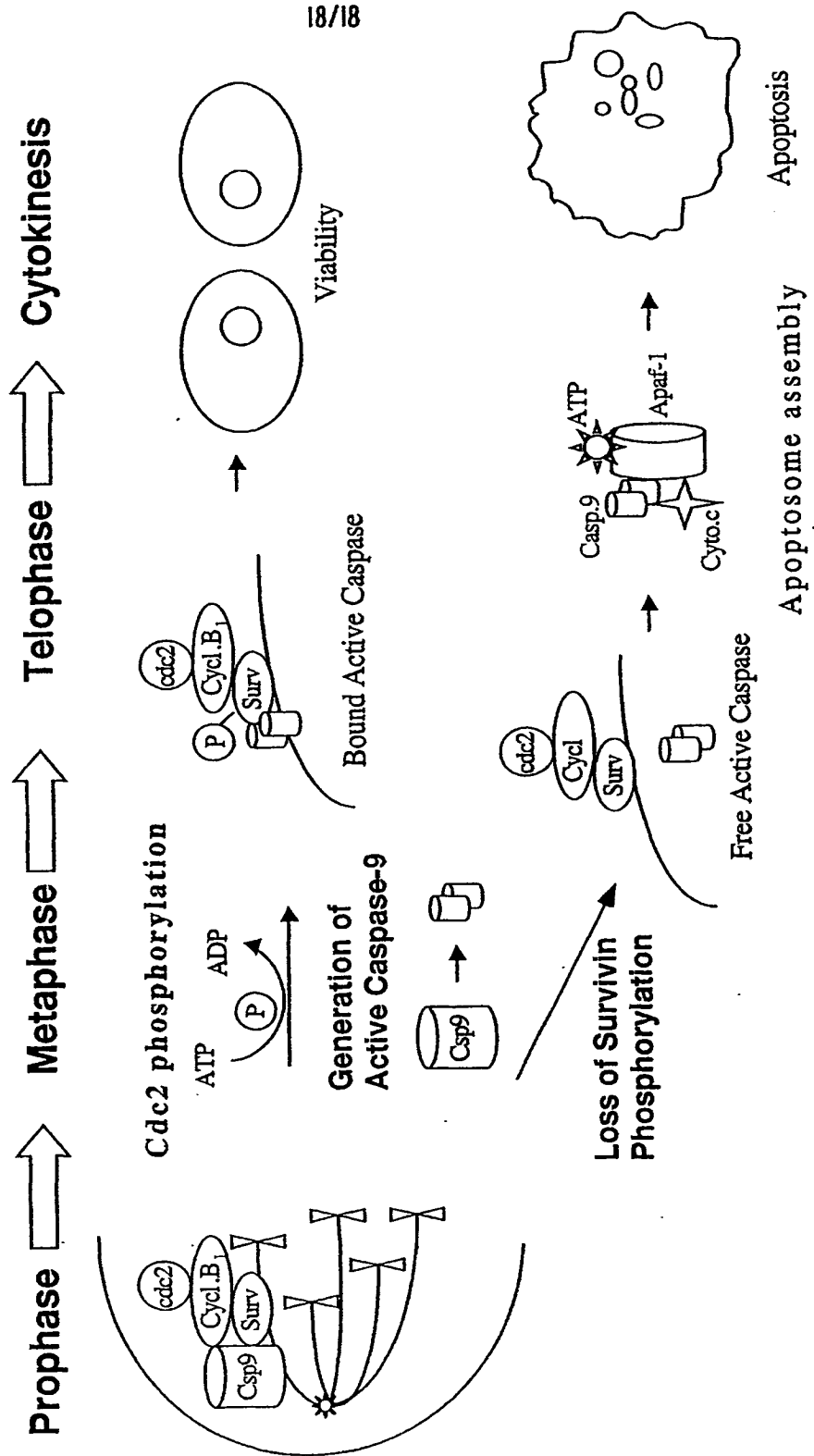


FIG. 13



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<140> US 09/515,514

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5

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<213> Saccharomyces cerevisiae

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1

5

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WO 01/64741

PCT/US01/06341

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<213> *Drosophila melanogaster*

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HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR,
LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ,
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WO 01/64741 A3

(54) Title: METHODS FOR SELECTIVELY MODULATING SURVIVIN APOPTOSIS PATHWAYS

(57) Abstract: The present invention, based on the discovery of a new biological phenomena, provides methods and compositions for use in identifying agents that modulate the phosphorylation of survivin, the interaction between survivin and p34^{cdc2}-cyclin B1 kinase complex, and the interaction between survivin and caspase-9. Related methods and compositions can be used to modulate survivin regulated apoptosis.

INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 01/06341

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 C12Q1/48 G01N33/50 C07K16/30 G01N33/68 C12Q1/68 C07K14/81 C07K7/08 A61K38/00 A61K48/00 A61K49/00					
According to International Patent Classification (IPC) or to both national classification and IPC					
B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) IPC 7 G01N C12Q C07K A61K					
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched					
Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal, PAJ, WPI Data, BIOSIS, MEDLINE, EMBASE, COMPENDEX, INSPEC, EMBL					
C. DOCUMENTS CONSIDERED TO BE RELEVANT					
Category *	Citation of document, with indication, where appropriate, of the relevant passages				Relevant to claim No.
A	WO 98 22589 A (UNIV YALE ;ALTIERI DARIO C (US)) 28 May 1998 (1998-05-28) the whole document abstract claims 1-59 examples 18-20 --- -/--				1,10,11, 15,16,24
<input checked="" type="checkbox"/> Further documents are listed in the continuation of box C. <input checked="" type="checkbox"/> Patent family members are listed in annex.					
* Special categories of cited documents : "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family					
Date of the actual completion of the international search			Date of mailing of the international search report		
21 November 2001			27.02.02		
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016			Authorized officer Tuyman, A		

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/06341

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>LI FENGZHI ET AL: "Control of apoptosis and mitotic spindle checkpoint by survivin." NATURE (LONDON), vol. 396, no. 6711, 10 December 1998 (1998-12-10), pages 580-584, XP002183549 ISSN: 0028-0836 cited in the application abstract page 583, left-hand column, line 23 -right-hand column, line 43 ---</p>	15,16,24
A	<p>GROSSMAN D ET AL: "EXPRESSION OF THE APOPTOSIS INHIBITOR, SURVIVIN, IN NONMELANOMA SKIN CANCER AND GENE TARGETING IN A KERATINOCYTE CELL LINE" LABORATORY INVESTIGATION, UNITED STATES AND CANADIAN ACADEMY OF PATHOLOGY, BALTIMORE,, US, vol. 29, no. 9, 1999, pages 1121-1126, XP002926502 ISSN: 0023-6837 cited in the application the whole document ---</p>	15,16,24
A	<p>TRZEPACZ CHRIS ET AL: "Phosphorylation of the tumor suppressor adenomatous polyposis coli (APC) by the cyclin-dependent kinase p34-cdc2." JOURNAL OF BIOLOGICAL CHEMISTRY, vol. 272, no. 35, 1997, pages 21681-21684, XP002183550 ISSN: 0021-9258 abstract page 21681, right-hand column, line 33 -page 21682, left-hand column, line 26 figure 3 page 21682, right-hand column, line 32 -page 21684, left-hand column, line 10 ---</p>	1-5,8, 12,15
A	<p>BORGNE A & MEIJER L: "Sequential dephosphorylation of p34cdc2 on Thr-14 and Tyr-15 at the prophase/metaphase transition" JOURNAL OF BIOLOGICAL CHEMISTRY, AMERICAN SOCIETY OF BIOLOGICAL CHEMISTS, BALTIMORE, MD, US, vol. 271, no. 44, 1996, pages 27847-27854, XP002162637 ISSN: 0021-9258 abstract page 27849, left-hand column, line 21 - line 60; figure 1 ---</p>	1-3,5,6, 8,12, 27-29
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INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 01/06341

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	<p>O'CONNOR DANIEL S ET AL: "Regulation of apoptosis at cell division by p34cdc2 phosphorylation of survivin."</p> <p>PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF THE UNITED STATES, vol. 97, no. 24, 21 November 2000 (2000-11-21), pages 13103-13107, XP002183551</p> <p>November 21, 2000</p> <p>ISSN: 0027-8424</p> <p>the whole document</p> <p>-----</p>	<p>1-12,15, 16,24, 27-29</p>

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US 01/06341

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

1-12, 15, 27-29 fully; 16, 24 partially

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-12,15,27-29 (fully); 16,24 (partially)

Methods involving the administration of survivin antagonists which modulate the phosphorylation of survivin.

2. Claims: 13,14,17 (fully); 16,24 (partially)

Methods involving the administration of survivin antagonists which modulate the interaction between survivin and p34cdc2 cyclin B1 kinase complex.

3. Claims: 18-23 (fully); 24 (partially)

Methods involving the administration of survivin antagonists which modulate the interaction between phosphorylated survivin and caspase-9.

4. Claims: 25,26 (fully), 24 (partially)

Methods involving the administration of survivin antagonists which modulate the expression of survivin in a cell i.e. antisense survivin nucleic acids.

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 01/06341

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 9822589	A	28-05-1998	US 6245523 B1	12-06-2001
			AU 736587 B2	02-08-2001
			AU 7301898 A	10-06-1998
			EP 0950103 A2	20-10-1999
			WO 9822589 A2	28-05-1998

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